



**AAHRPP**  
Association for the Accreditation of  
Human Research Protection Programs, Inc.®

## 2020 Metrics for AAHRPP-Accredited Human Research Protection Programs

### Academic Organizations

August 2020

# Metrics About Academic Organizations

AAHRPP is pleased to present the 2020 metrics for Human Research Protection Program (HRPP) performance. AAHRPP provides these data to help research organizations, researchers, sponsors, government agencies, and participants identify and support high-performing practices for HRPPs.

These metrics are collected from Annual Reports, as well as Step 1 and Step 2 applications, submitted by accredited academic organizations.

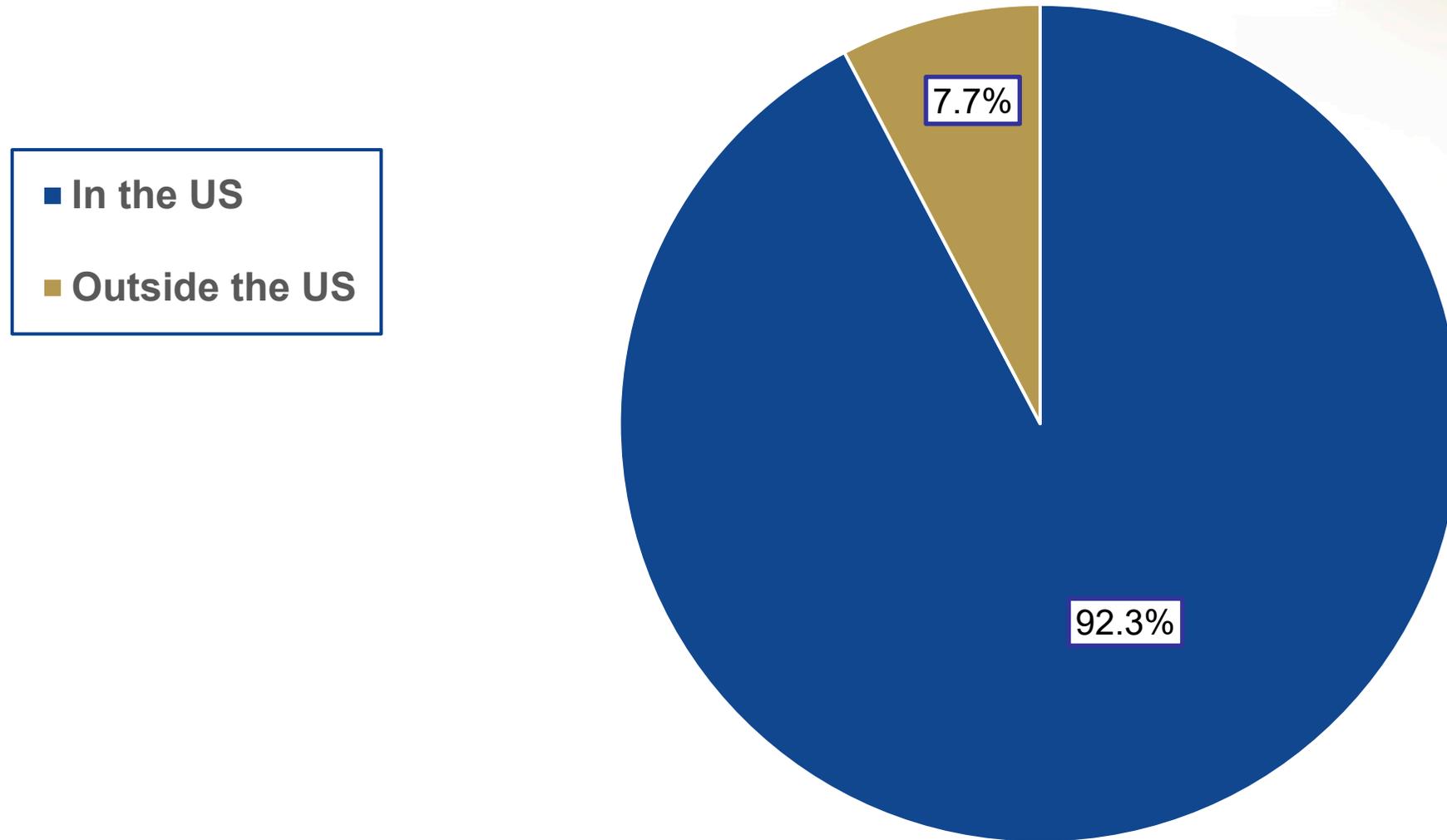
All the quantitative data were derived from the most recent reports by AAHRPP organizations.

Data were selected based on what most accurately reflected academic organizations in 2020.

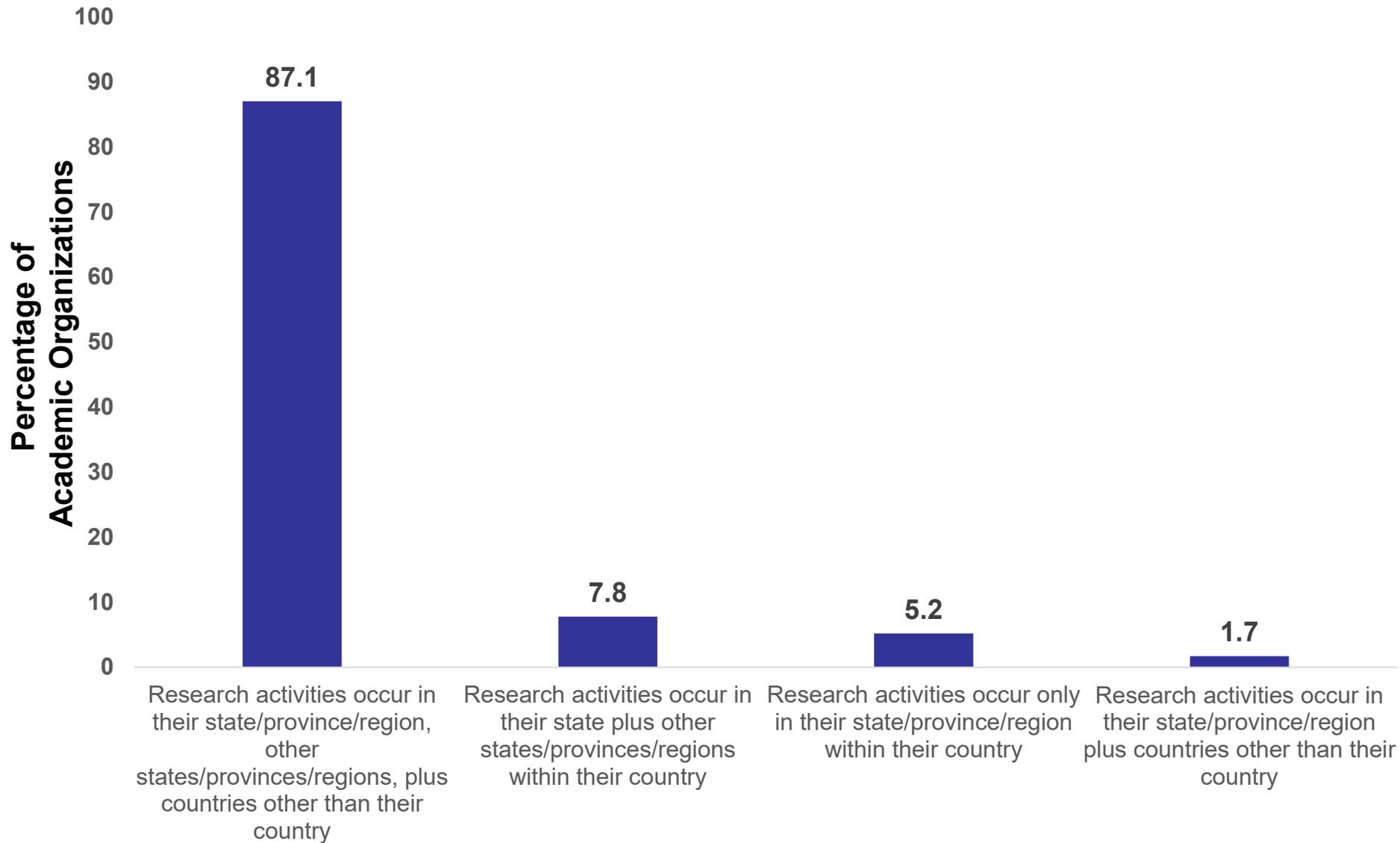
# Table of Contents

<b>General Description of Organizations</b>	<ul style="list-style-type: none"> <li>Slide 4: Where Accredited Academic Organizations are Based</li> </ul>	<b>Research Review: Number of Studies</b>	<ul style="list-style-type: none"> <li>Slide 15: Active Studies Overseen: All Academic Organizations</li> <li>Slide 16: Active Studies Per IRB/EC</li> <li>Slide 17: IRB/EC Review Times: All Review Types</li> <li>Slide 18: IRB/EC Review Times: Expedited Review</li> <li>Slide 19: IRB/EC Review Times: Convened Board Review</li> <li>Slide 20: Review Times: Exemptions</li> </ul>
<b>General Description of Research</b>	<ul style="list-style-type: none"> <li>Slide 5: Where Research Occurs</li> <li>Slide 6: Research Type</li> <li>Slide 7: Biomedical/Clinical Research by Type</li> <li>Slide 8: Vulnerable Populations</li> </ul>		
<b>Research Funding and Regulations</b>	<ul style="list-style-type: none"> <li>Slide 9: Funding Type</li> <li>Slide 10: Funding Distribution</li> <li>Slide 11: Regulations &amp; Guidelines</li> </ul>	<b>Resources and Budget</b>	<ul style="list-style-type: none"> <li>Slide 21: IRB/EC Technology</li> <li>Slide 22: IRB/EC Staffing and Budget</li> </ul>
<b>Use of IRBs/ECs</b>	<ul style="list-style-type: none"> <li>Slide 12: Internal IRBs/ECs</li> <li>Slide 13: Relying on External IRBs/ECs</li> <li>Slide 14: Compensating IRB/EC Members</li> </ul>	<b>Audits, Reportable Events, and Conflicts of interest</b>	<ul style="list-style-type: none"> <li>Slide 23: Audits of Researchers</li> <li>Slide 24: Audits of IRBs/ECs</li> <li>Slide 25: Unresolved Complaints, Noncompliance, &amp; Unanticipated Problems</li> <li>Slide 26: Conflicts of Interest</li> </ul>

# Where Accredited Academic Organizations are Based



# Where Research Occurs



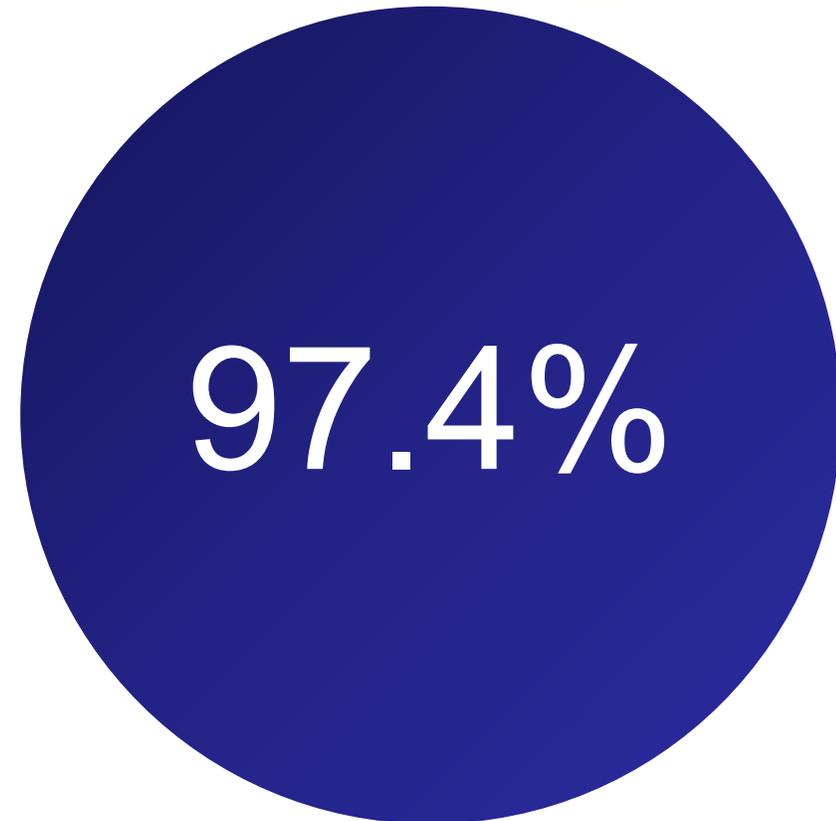
This graph shows where academic organizations conduct or review research. The majority conduct or review transnational research.

# Research Type

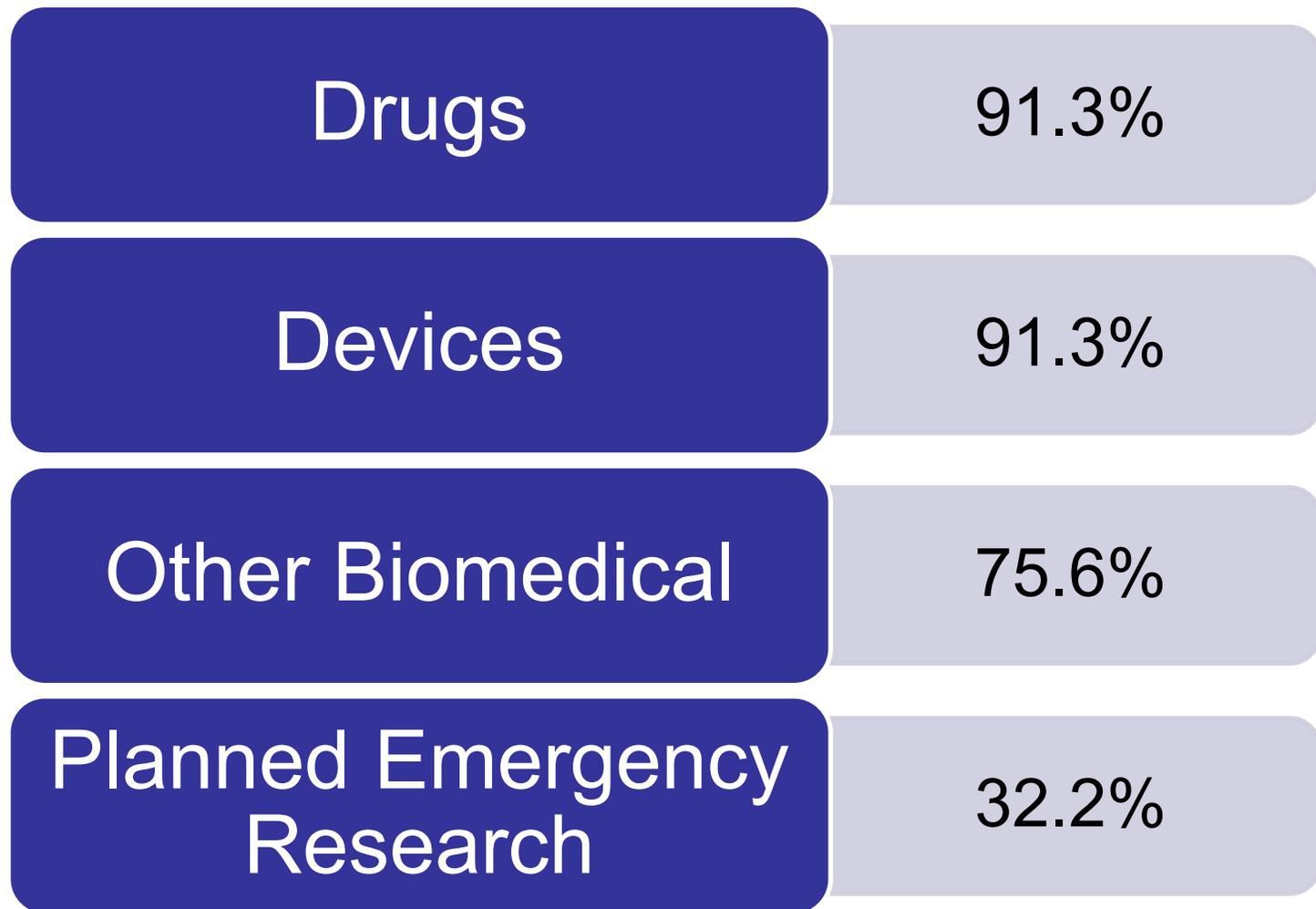
**Most academic organizations  
conduct or review  
biomedical/clinical research**



**Most academic organizations  
conduct or review  
social/behavioral/education research**



## Biomedical/Clinical Research by Type



This table shows the type of biomedical/clinical research academic organizations conduct or review.

# Vulnerable Populations

Children	100%
Students	98.3%
Adults Unable to Consent	96.5%
Employees	96.5%
Pregnant Women	95.7%
Prisoners	87.0%
Other	18.9%

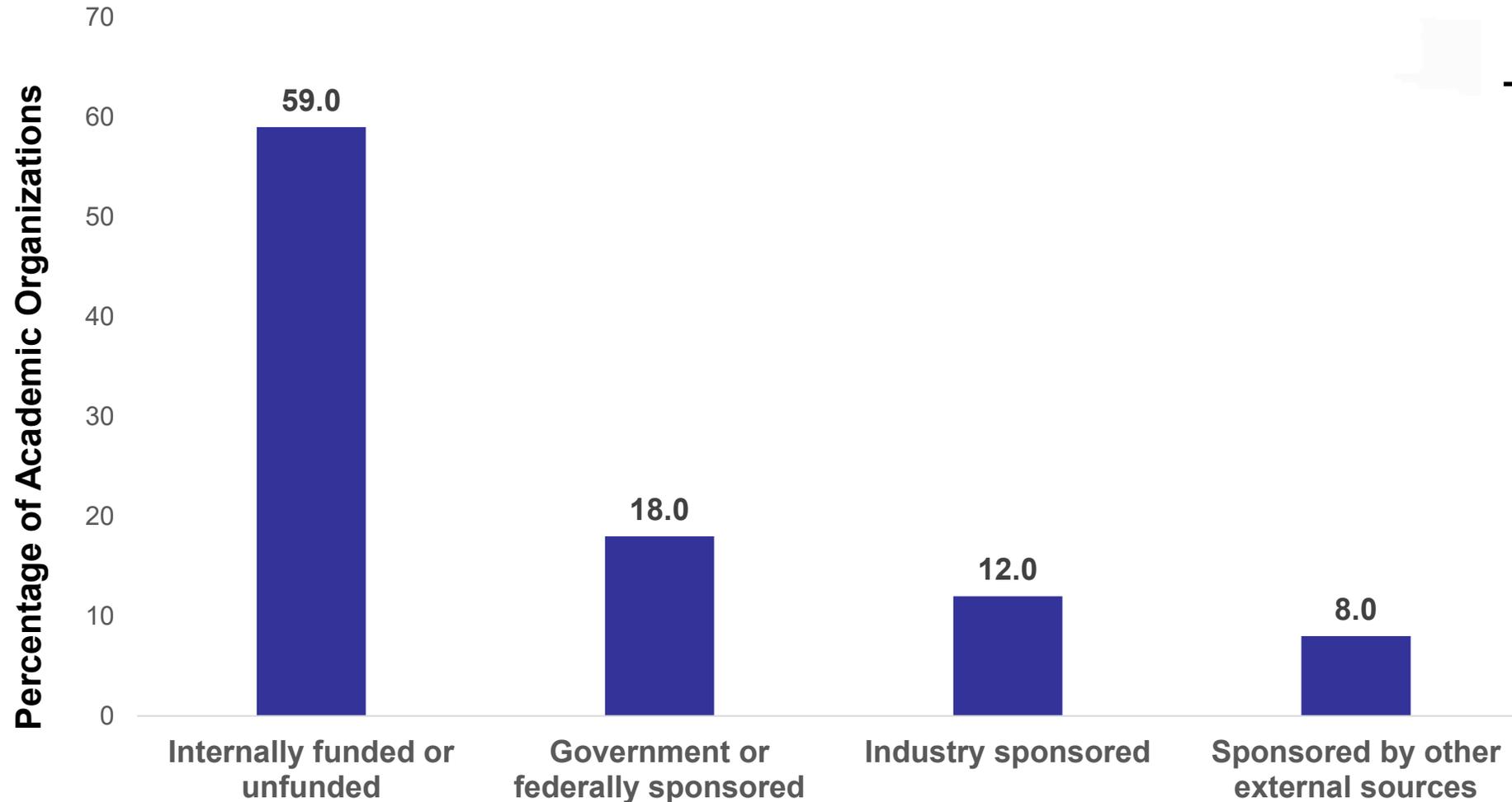
This table shows the categories of vulnerable populations that participate in research conducted or reviewed by academic organizations.

# Funding Type

Internally funded or unfunded	100%
Government or federally sponsored	99.1%
Sponsored by other external sources	98.3%
Industry sponsored	96.6%

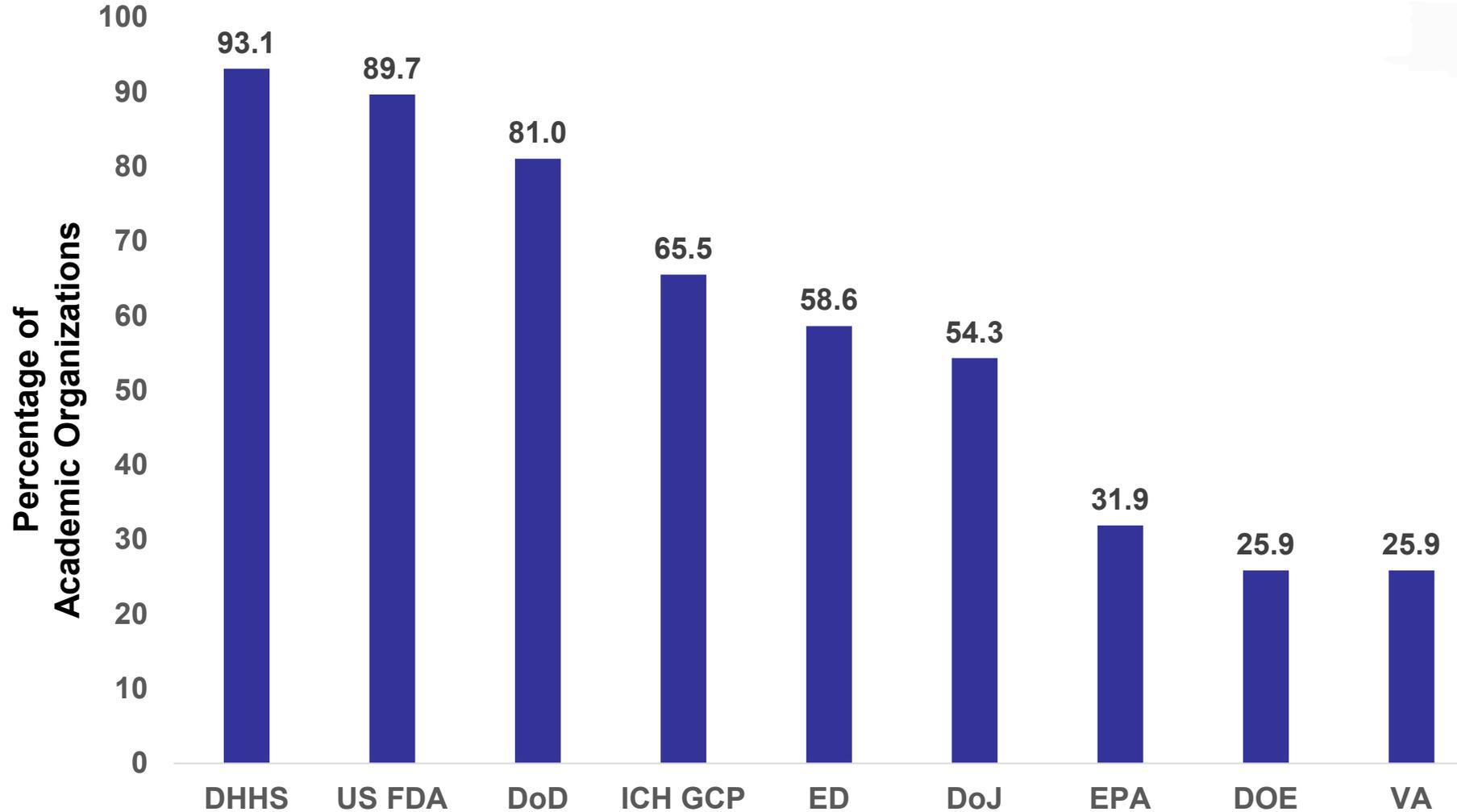
This table shows the percentage of academic organizations that conduct or review research by funding type that supports the research.

# Funding Distribution



This chart shows the median percentage of funding type that supports research academic organizations conduct or review.

# Regulations & Guidelines



This chart shows the percentage of academic organizations that apply specific regulations and guidelines to the research they conduct or review.

- 93.1% - US Dept of Health & Human Services
- 89.7% - US FDA
- 81.0% - US Dept of Defense
- 65.5% - ICH GCP
- 58.6% - US Dept of Education
- 54.3% - US Dept of Justice
- 31.9% - US Environmental Protection Agency
- 25.6% - US Dept of Energy
- 25.9% – Veterans Affairs

# Internal IRBs/ECs

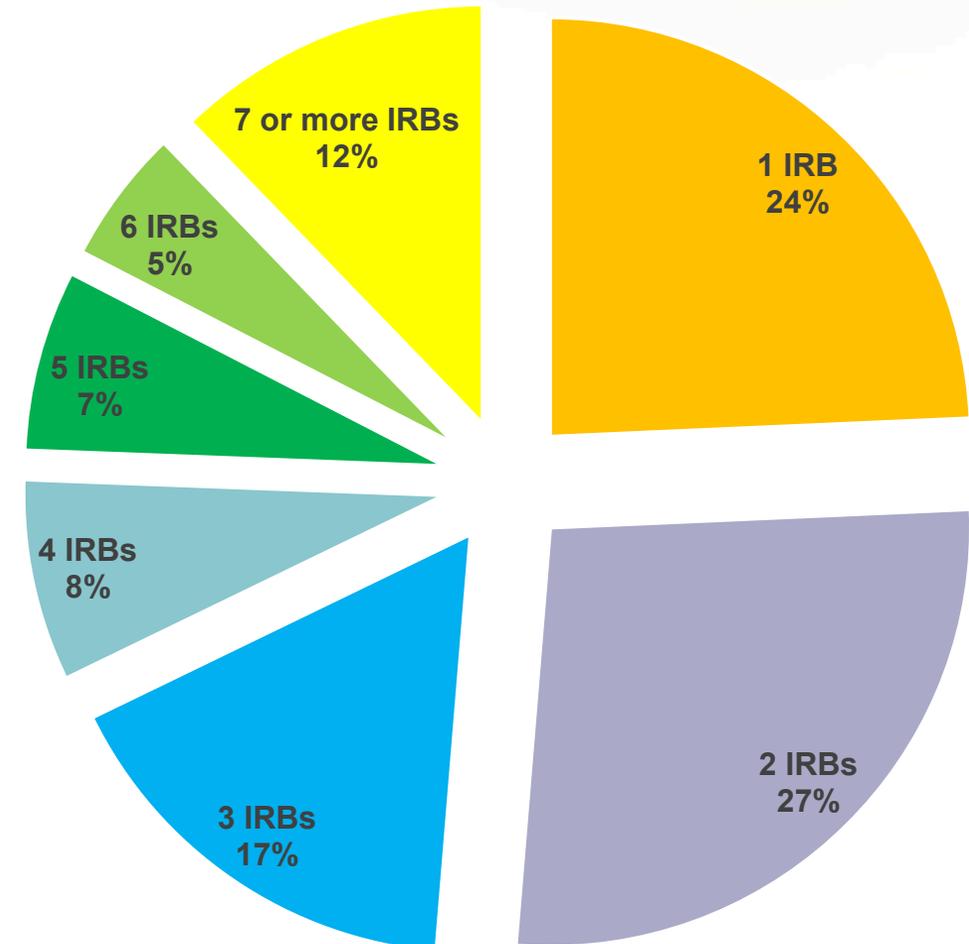
% of academic organizations that have internal IRBs

100%

Median # of IRBs/ECs per academic organization

2

Number of internal IRBs/ECs  
% of academic organizations support

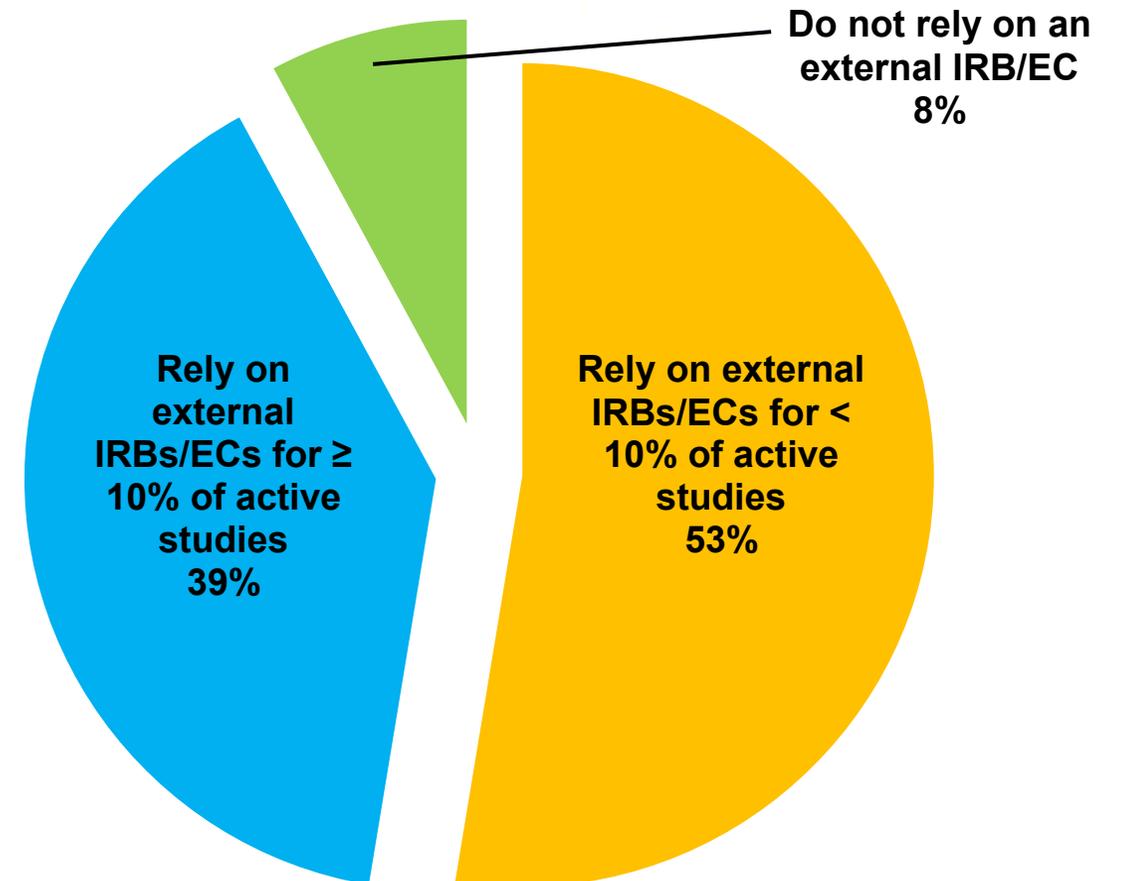


# Relying on External IRBs/ECs

**% of academic organizations with internal IRBs/ECs that also use external IRBs/ECs**

**92.1%**

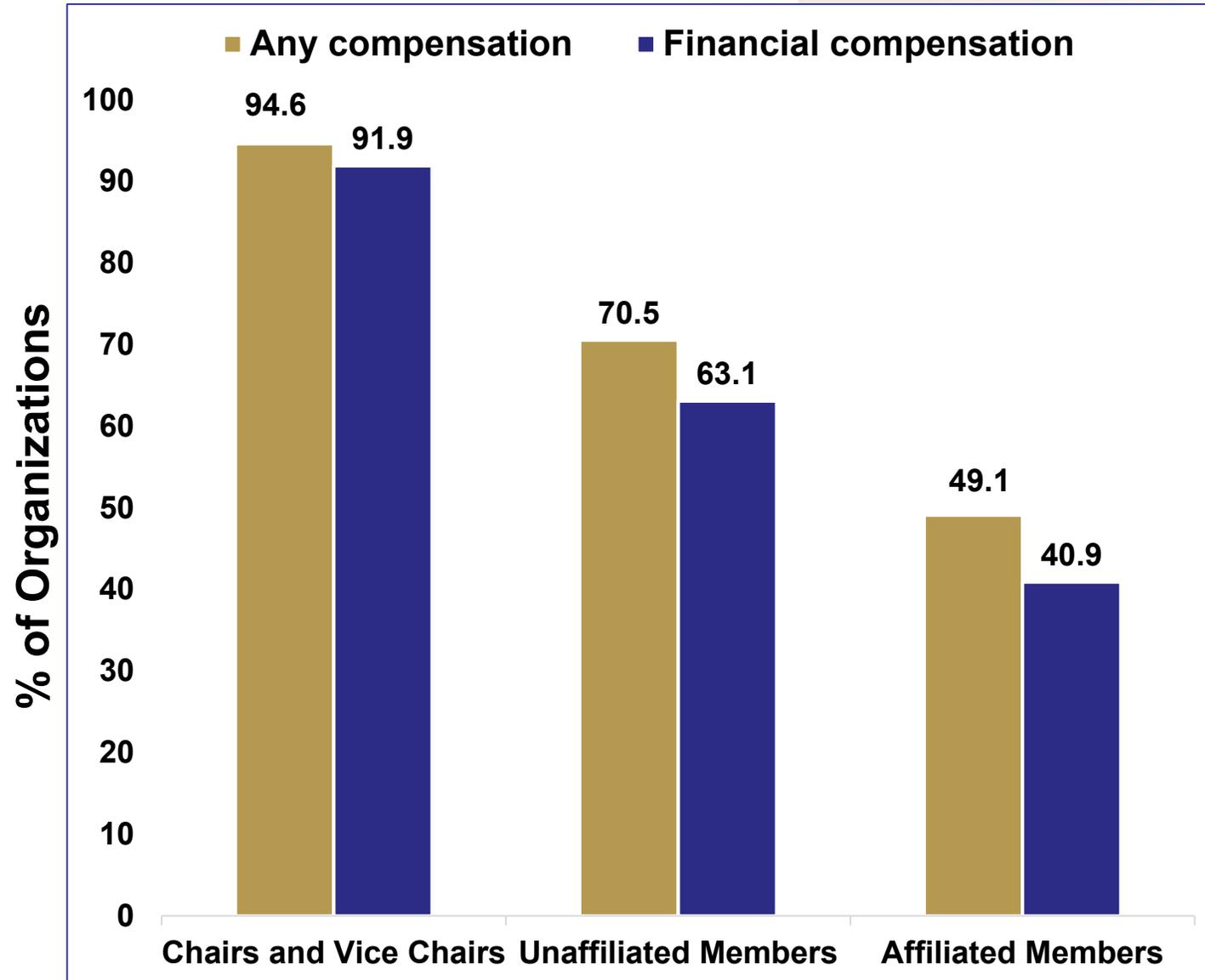
**Use of external IRBs/ECs  
by academic organizations with internal  
IRBs/ECs**



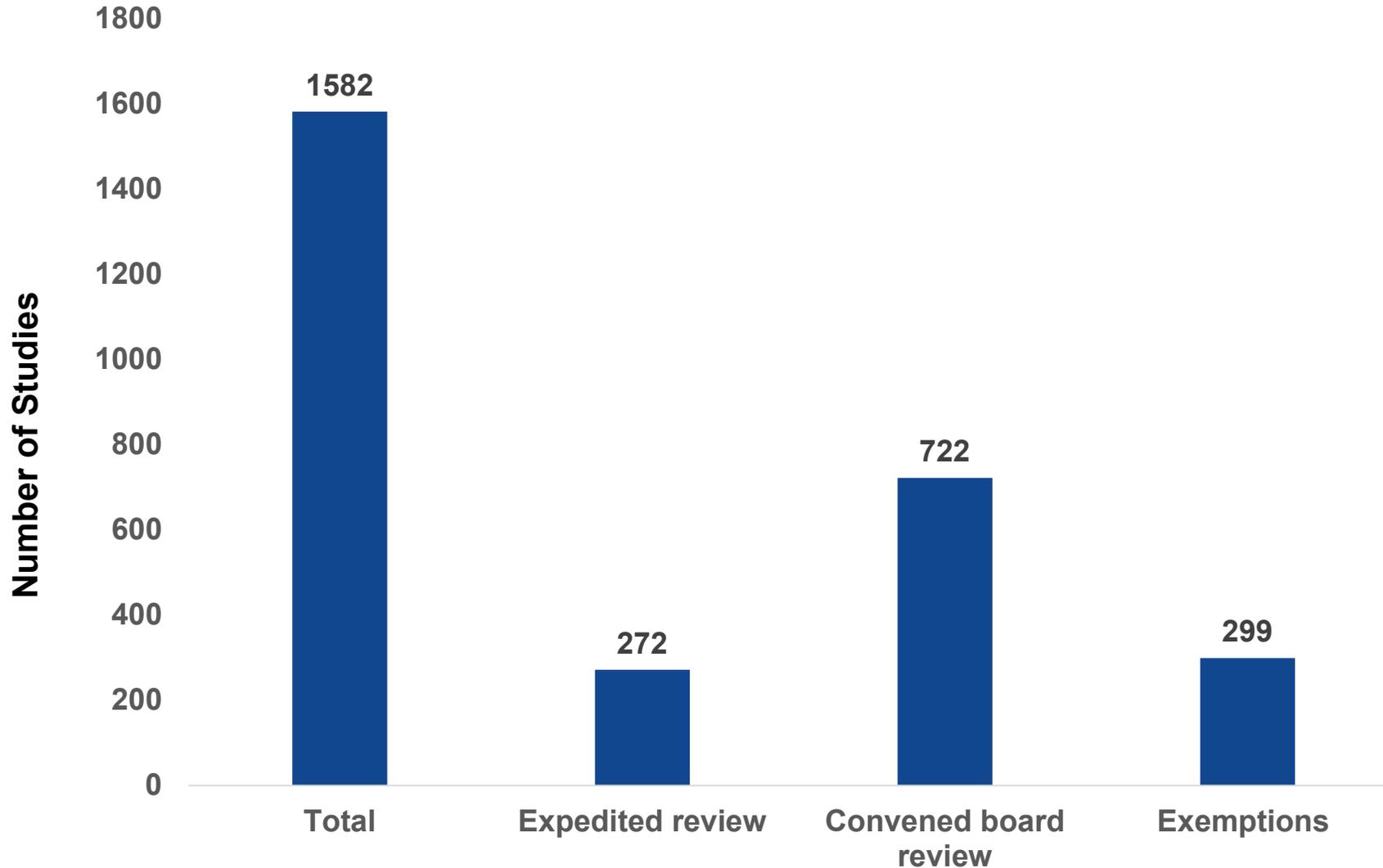
# Compensating IRB/EC Members

% of academic organizations with internal IRBs/ECs that compensate IRB/EC members

94%



# Active Studies Overseen: All Academic Organizations

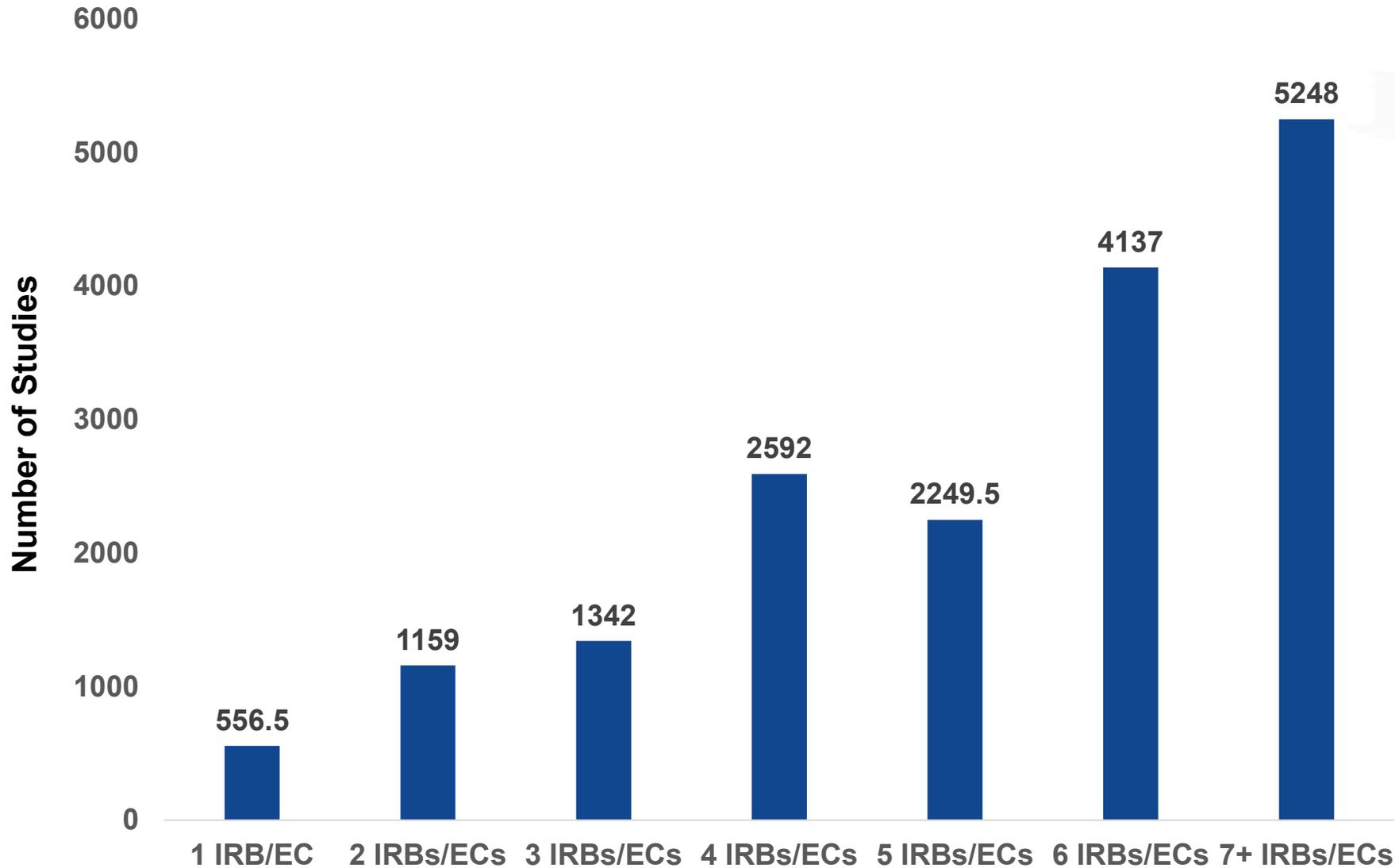


This chart shows the median number of active studies that academic organizations conduct or review by type of review.

#### Note:

- Exemptions are based on the number of determinations made by an organization within 12 months of their most recent report to AAHRPP
- Total number of studies includes those reviewed by both internal and external IRBs/ECs in the case of organizations with IRBs/ECs

# Active Studies Per IRB/EC

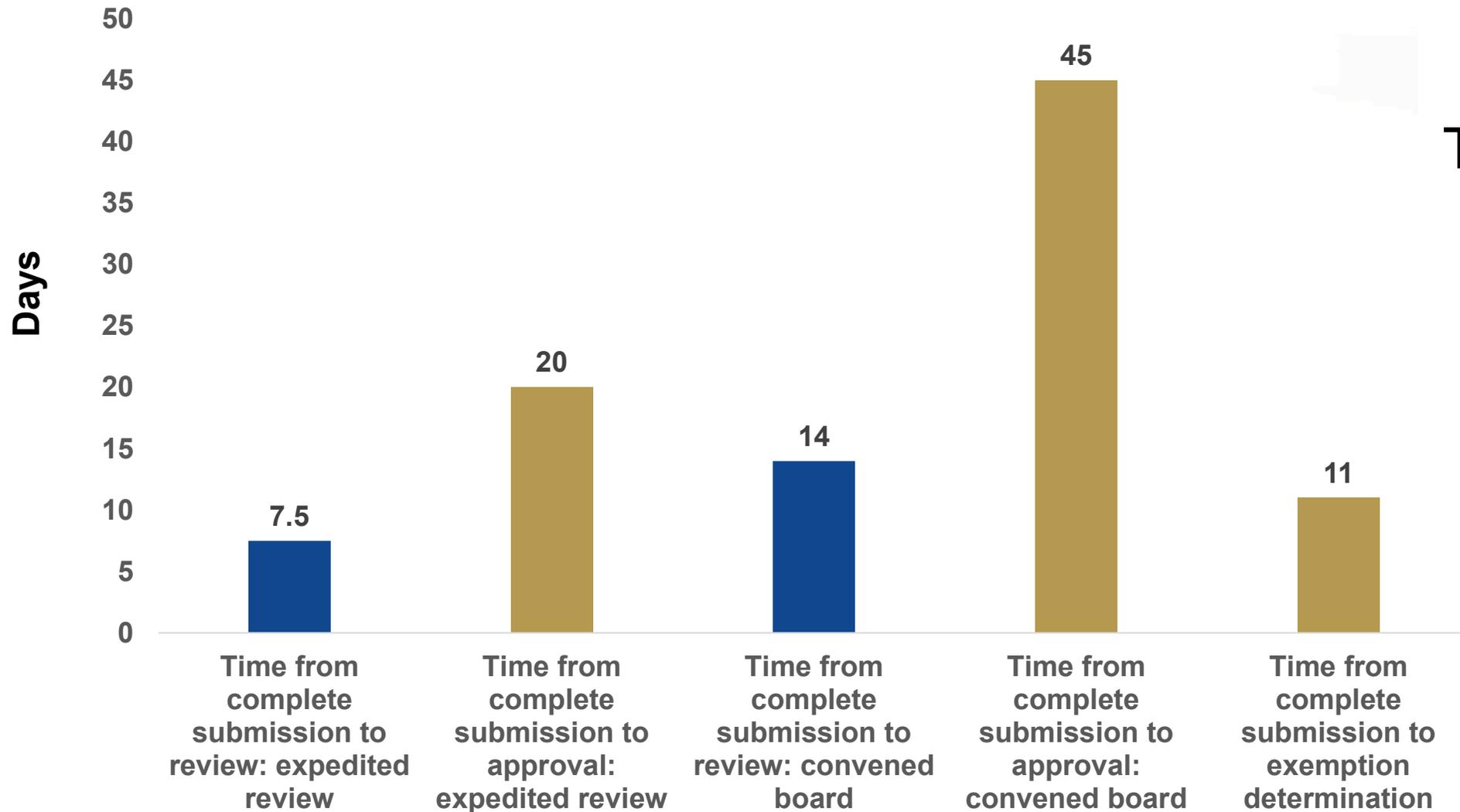


This chart shows the median number of active studies academic organizations oversee based on the number of IRBs/ECs they have.

## Note

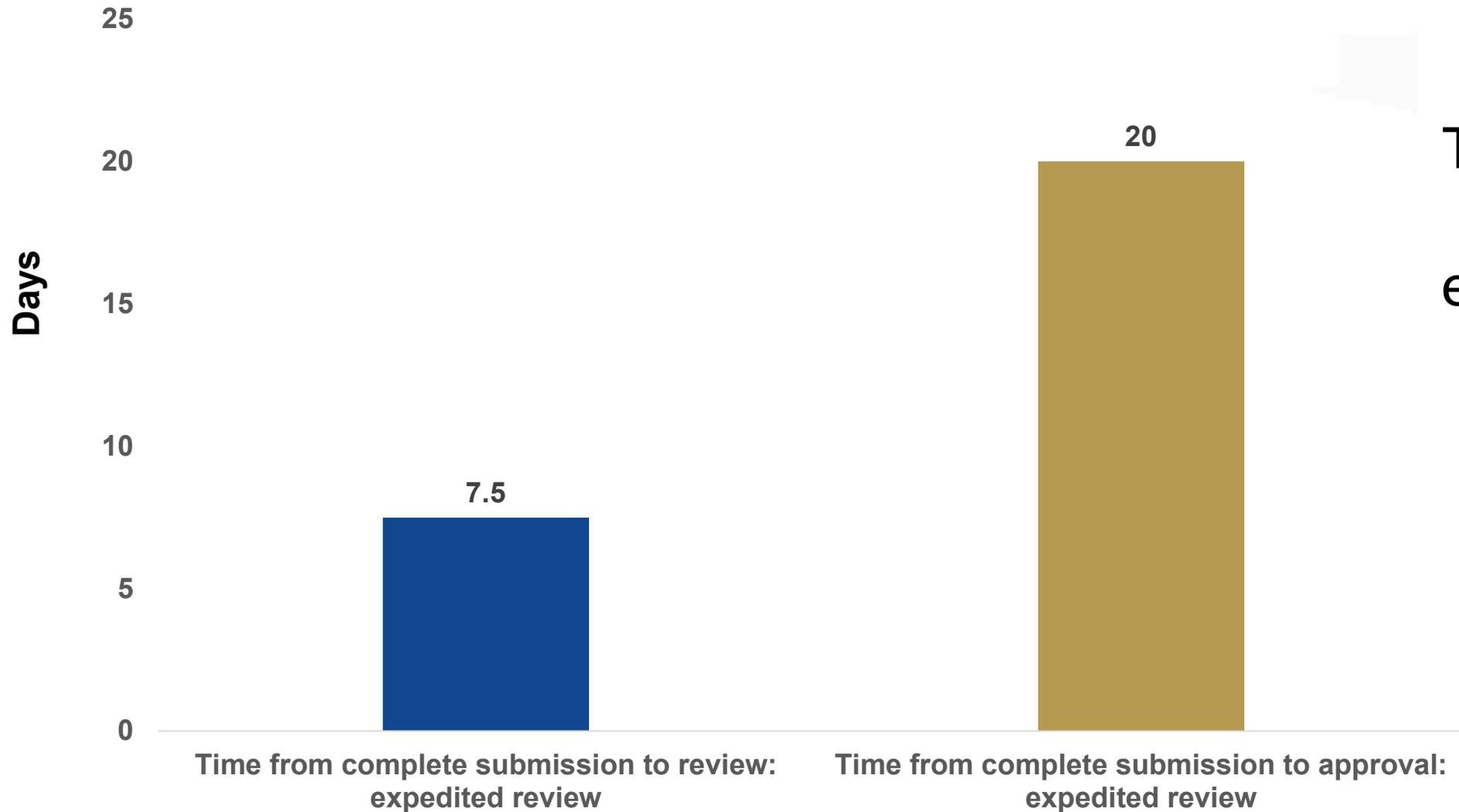
- Total number of studies for organizations includes those reviewed by both internal and external IRBs/ECs

# Review Times: All Review Times



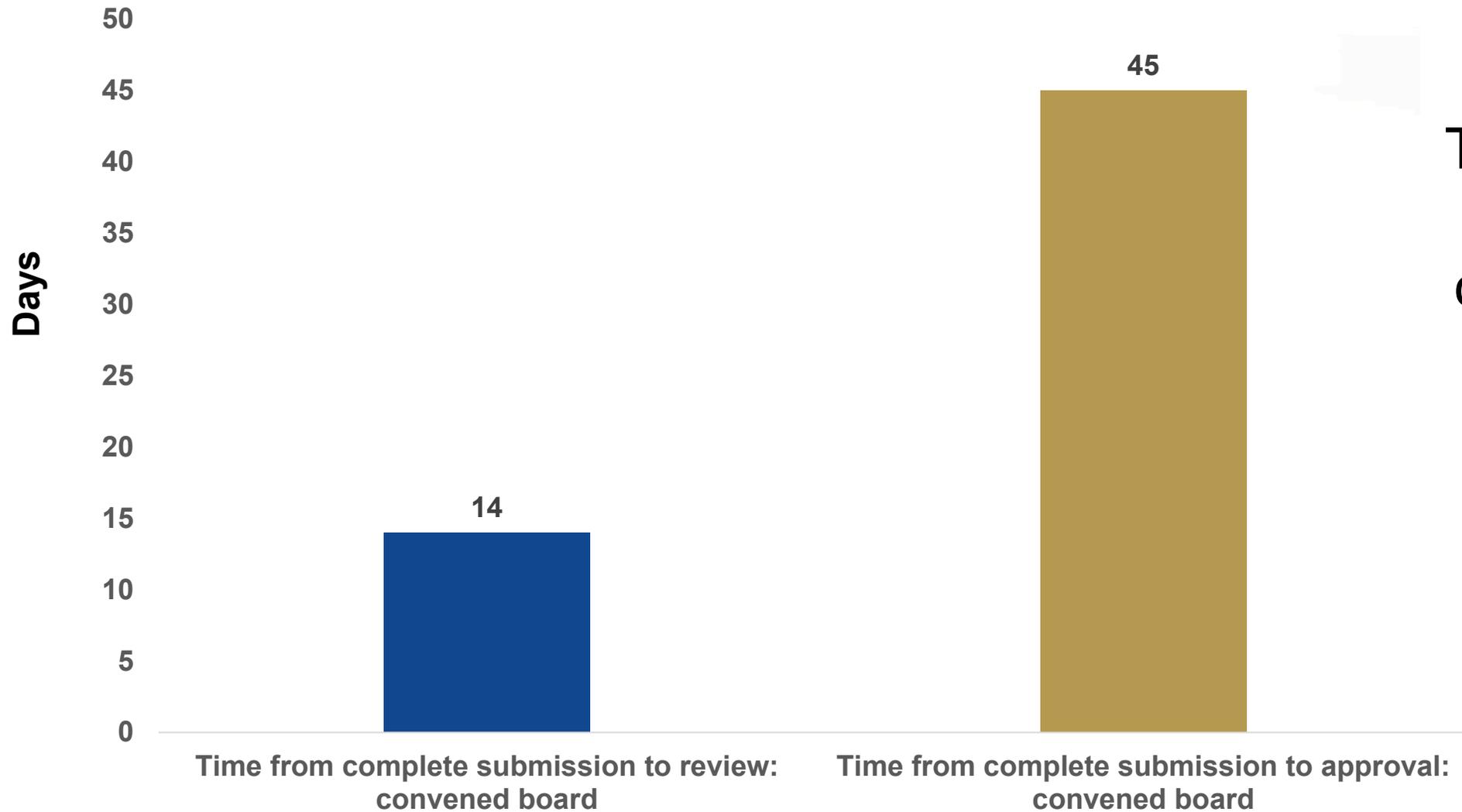
This chart shows the median review times by review process for academic organizations.

# IRB/EC Review Times: Expedited Review



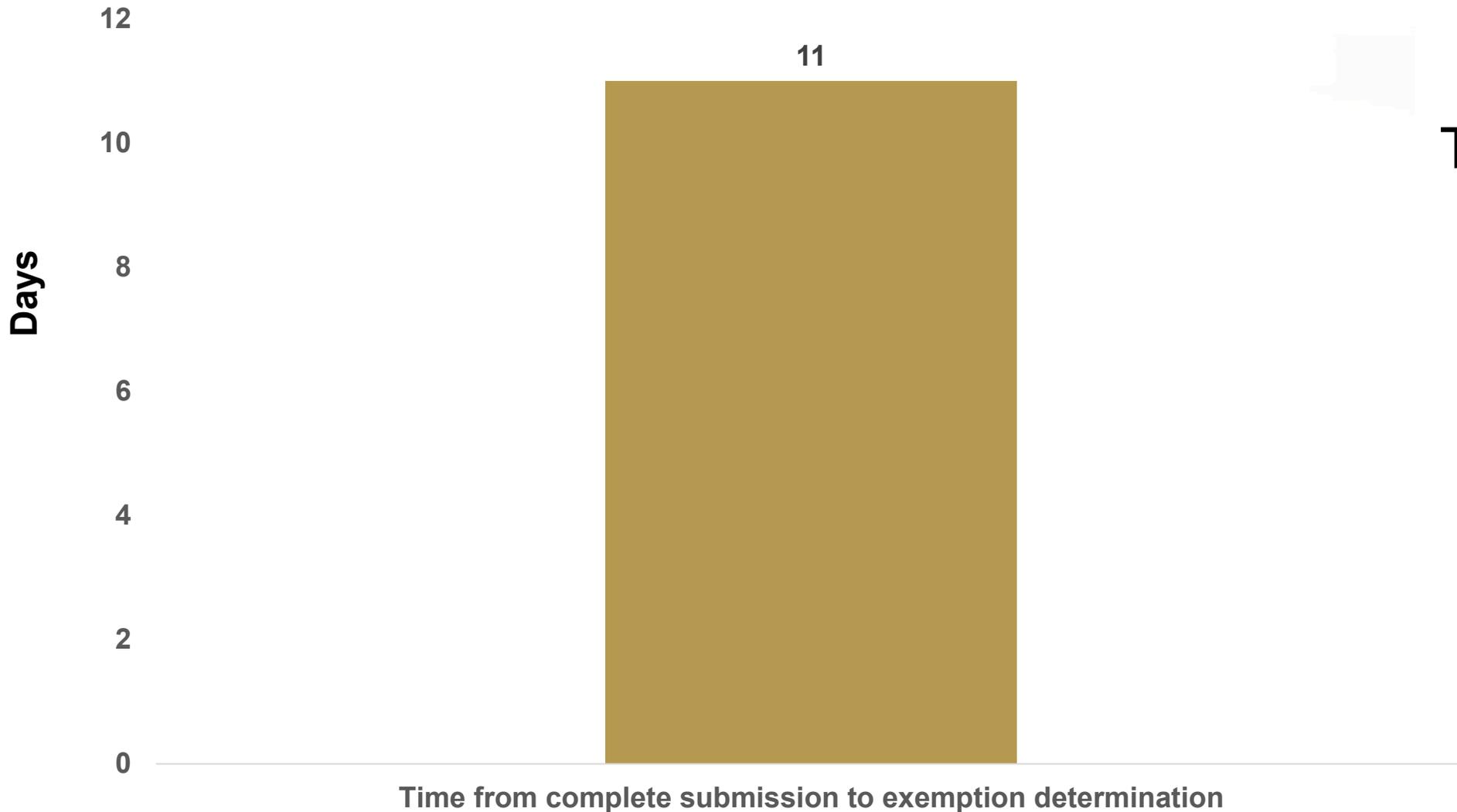
This chart shows the median expedited review times for academic organizations.

# IRB/EC Review Times: Convened Board Review



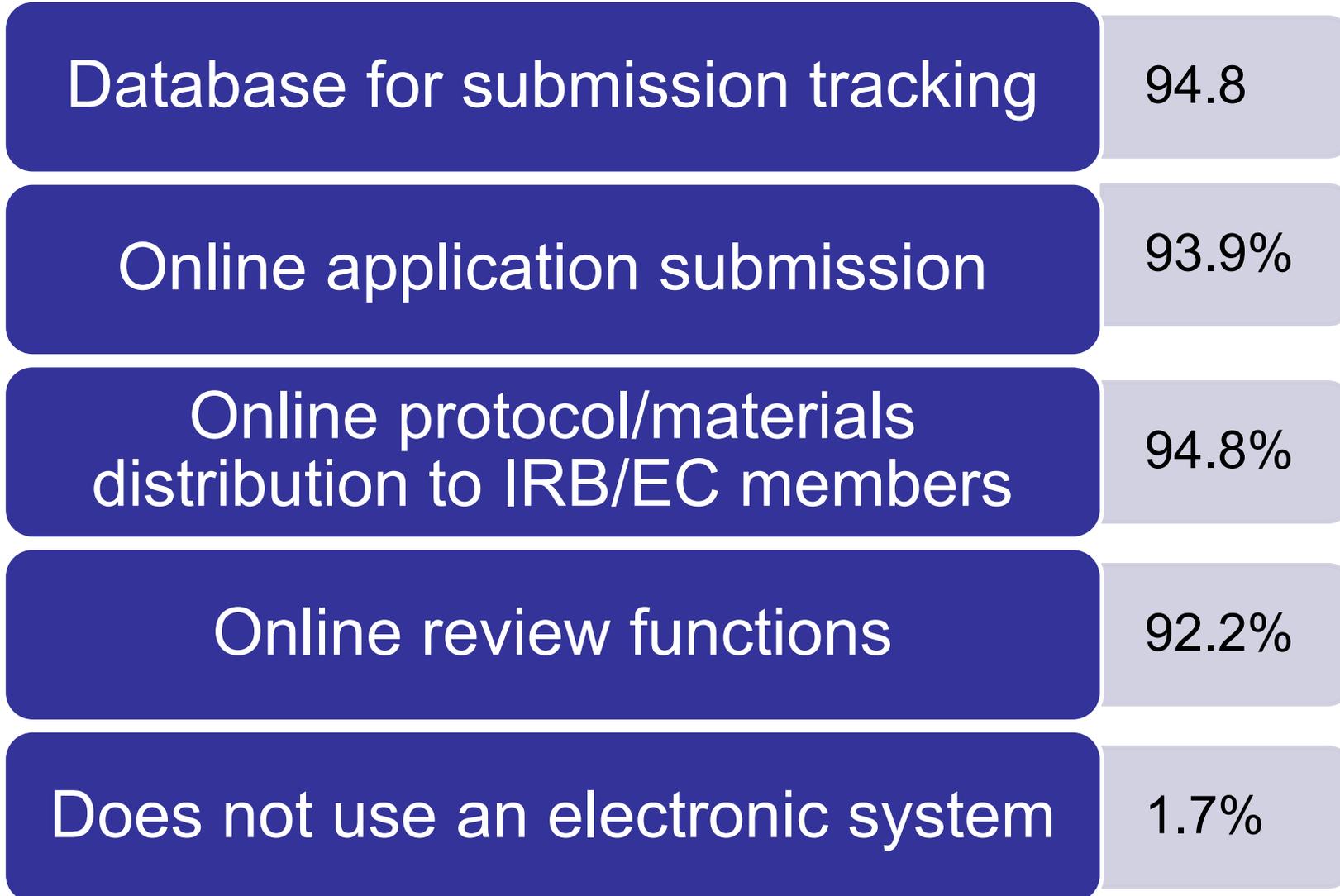
This chart shows the median convened board review times for academic organizations.

# Review Times: Exemptions



This chart shows the median review times for exemption determinations for academic organizations.

# IRB/EC Technology



This table shows the technology academic organizations use to support IRB/EC tracking, submission, distribution and the specific functions used.

# IRB/EC Staffing and Budget

Number of Active Studies	Median Number of Studies	Median Number of Staff	Median Number of Studies per Staff	Median IRB/EC Budget
All	1582	8.8	179.8	\$646,129
0-500	245	2.8	87.5	\$232,781
501-1000	729	4	182.3	\$383,890
1001-2000	1348	8.5	158.6	\$695,469
2001-4000	2550	12	212.5	\$1,166,461
4001+	6167	23.3	264.7	\$2,422,615

This table breaks down IRB/EC staffing and budgets by the size of the research portfolio (exempt, expedited, and convened reviews) overseen by academic organizations.

# Audits of Researchers

Median # of researchers per organization with active studies:

921

Median # of research staff per organization:

1307

% of organizations that reported that no audits of investigators occurred

94.0%

Internal:  
"for cause"

- Median: 2
- Total: 459

Internal:  
random

- Median: 17
- Total: 5684

Regulatory  
agency  
inspections

- Median: 0
- Total: 103

# Audits of IRBs/ECs

% of organizations that reported that audits of IRB/EC records occurred:  
81.2%

Internal:  
"for cause"

- Median: 0
- Total: 294

Internal:  
random

- Median: 5
- Total: 2493

Regulatory  
agency  
inspections

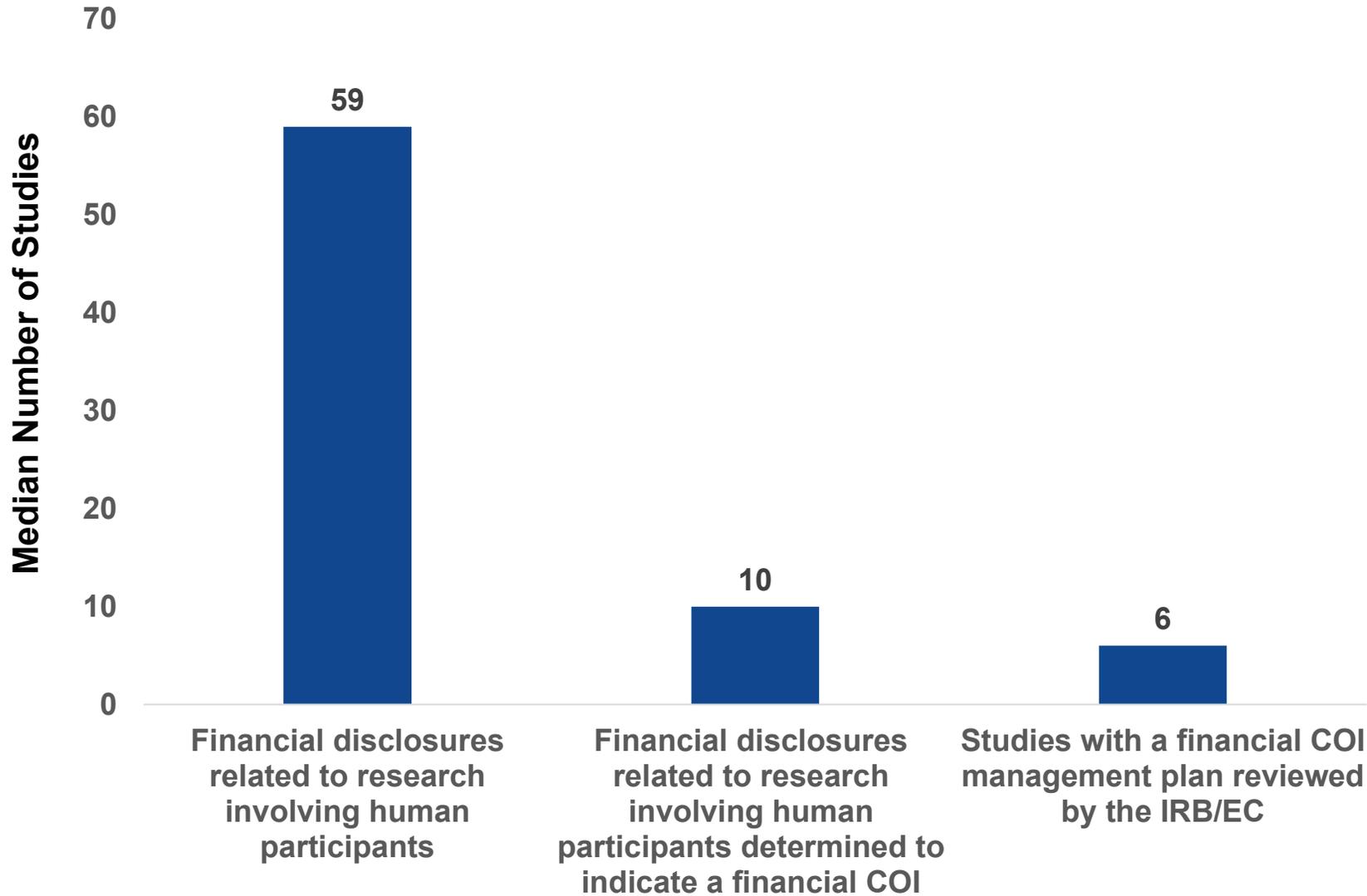
- Median: 0
- Total: 25

## Unresolved Complaints, Noncompliance, & Unanticipated Problems

Number of active studies	Unresolved complaints	Investigations of alleged noncompliance	Serious noncompliance determinations	Continuing noncompliance determinations	Unanticipated problems determinations
All	0	5.5	1	0	1
0-500	0	2	0	0	0
501-1000	0	2	0	0	0
1001-2000	0	13.5	1.5	1	1.5
2001-4000	0	3	2	0	3
4001+	0	45.5	5	2.5	5

This table is based on the 12 months prior to an academic organization's most recent report to AAHRPP and shows the median number of events per category.

# Conflicts of Interest



This chart shows the median number of COI-related disclosures, determinations, and reviews for academic organizations within the last 12 months of their most recent AAHRPP report.