# **2021 Metrics for AAHRPP-Accredited Human Research Protection Programs**

June 2022



### **About the Metrics**

AAHRPP is pleased to present the 2021 metrics for Human Research Protection Program (HRPP) performance. AAHRPP provides these data to help research organizations, researchers, sponsors, government agencies, and participants identify and support high-performing practices for HRPPs.

These metrics are collected from Annual Reports, as well as Step 1 and Step 2 applications, submitted by accredited organizations.

All the quantitative data were derived from the most recent reports submitted by AAHRPP organizations.

Data were selected based on what most accurately reflected AAHRPP organizations in 2021.

## **Abbreviations Used**

DHHS US Department of Health & Human Services

DoD US Department of Defense

ED US Department of Education

DoE US Department of Energy

DoJ US Department of Justice

EPA US Environmental Protection Agency

FDA US Food and Drug Administration

ICH GCP International Conference on Harmonisation Good Clinical Practice

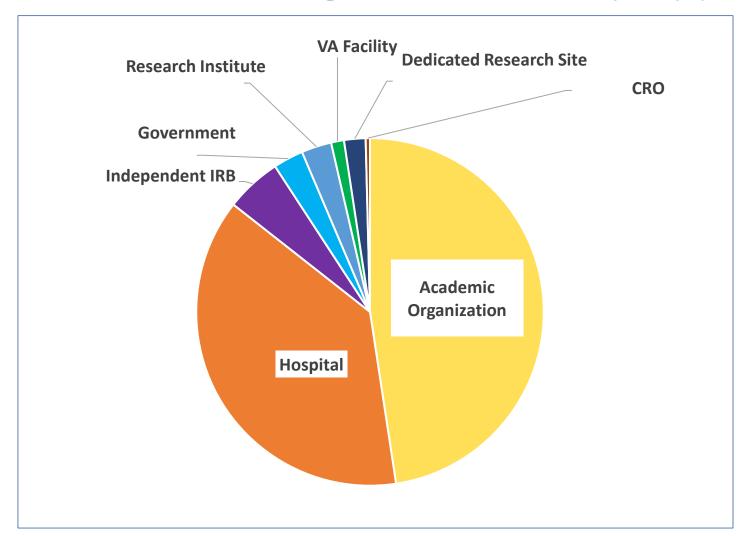
VA US Department of Veterans Affairs



### **Table of Contents**

General Description of Organizations	<ul> <li>Slide 5: Accredited Organizations By Type</li> <li>Slide 6: Where Accredited Organizations are Based</li> </ul>	Research Review: Number of Studies	<ul> <li>Slide 18: Active Studies: All Organizations</li> <li>Slide 19: Median Number of Active Studies (by Quartile)</li> <li>Slide 20: Active Studies: Internal vs.</li> </ul>
General Description of Research	<ul> <li>Slide 7: Where Research Occurs</li> <li>Slide 8: Research Type</li> <li>Slide 9: Type of Research Organizations         Conduct, Manage, or Review</li> <li>Slide 10: Biomedical/Clinical Research by Type</li> <li>Slide 11: Vulnerable Populations</li> </ul>		External IRB/EC Slide 21: Active Studies Per IRB/EC Slide 22: IRB/EC Review Times: All Review Types
Research Funding and Regulations	<ul> <li>Slide 12: Funding Type</li> <li>Slide 13: Funding Distribution</li> <li>Slide 14: Regulations &amp; Guidelines</li> </ul>	Resources and Budget	<ul> <li>Slide 23: IRB/EC Technology</li> <li>Slide 24: IRB/EC Staffing and Budget</li> </ul>
Use of IRBs/ECs	<ul> <li>Slide 15: Internal IRBs/ECs</li> <li>Slide 16: Relying on External IRBs/ECs</li> <li>Slide 17: Compensating IRB/EC Members</li> </ul>	Audits, Reportable Events, and Conflicts of interest	<ul> <li>Slide 25: Audits of Researchers</li> <li>Slide 26: Audits of IRBs/ECs</li> <li>Slide 27: Unresolved Complaints, Noncompliance, &amp; Unanticipated Problems</li> <li>Slide 28: Conflicts of Interest</li> </ul>

### **Accredited Organizations By Type**



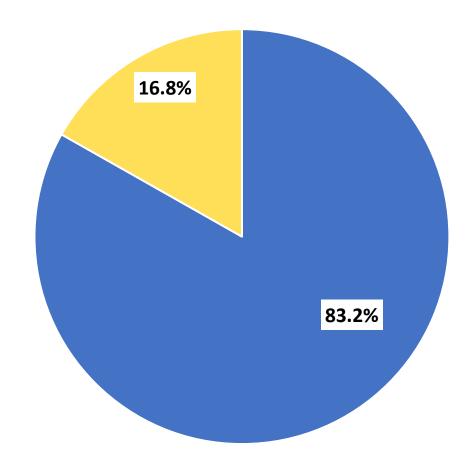
#### Of the 250 accredited organizations:

- 47.6% are academic organizations
- 38.0% are hospitals
- 5.2% are independent IRBs
- 2.8% are governmental organizations
- 2.8% are research institutes
- 2.0% are dedicated research sites
- 1.2% are VA facilities
- 0.4% are contract research organizations

### **Where Accredited Organizations are Based**



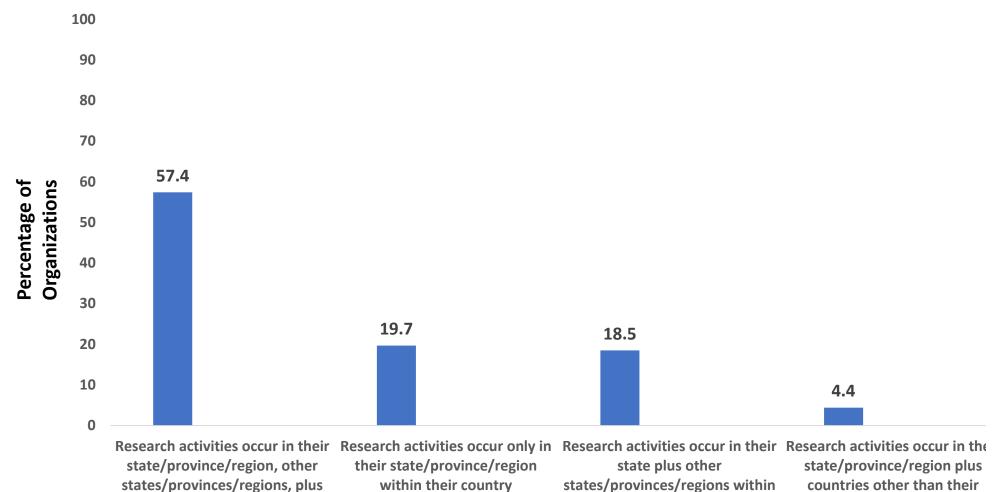
Outside the US



countries other than their

country

### **Where Research Occurs**



their country

country

This chart shows where organizations conduct, review, or manage research.

The majority of organizations conduct, review, or manage transnational research.

### **Research Type**

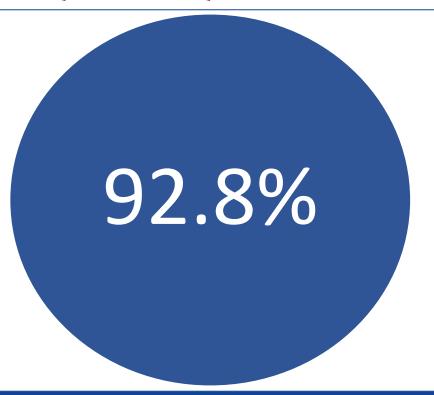
Most organizations conduct, manage, or review

biomedical/clinical research

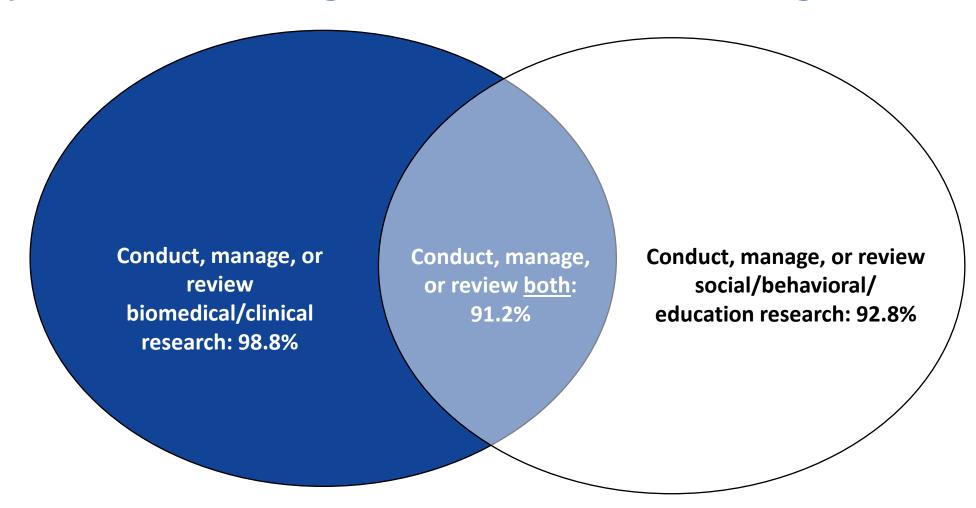
98.8%

Most organizations conduct, manage, or review

social/behavioral/education research



### Type of Research Organizations Conduct, Manage, or Review



## Biomedical/Clinical Research by Type

Drugs

94.0%

Devices

91.9%

Planned Emergency Research

28.8%

This table shows the type of biomedical/clinical research organizations conduct or review.

### **Vulnerable Populations**

Children	96.0%
Pregnant Women	92.0%
Employees	92.8%
Adults Unable to Consent	91.6%
Students	89.6%
Prisoners	60.0%
Other	22.0%

This table shows the categories of vulnerable populations that participate in research conducted, reviewed, or managed by organizations.

Only 2 organizations indicated they do not conduct, review, or manage research involving any vulnerable populations.

Organizations identified a range of "other" vulnerable populations, such as veterans, non-English speakers, indigenous people, and socially disadvantaged.

## **Funding Type**

Industry sponsored

96.8%

Government or federally sponsored

95.6%

Internally funded or unfunded

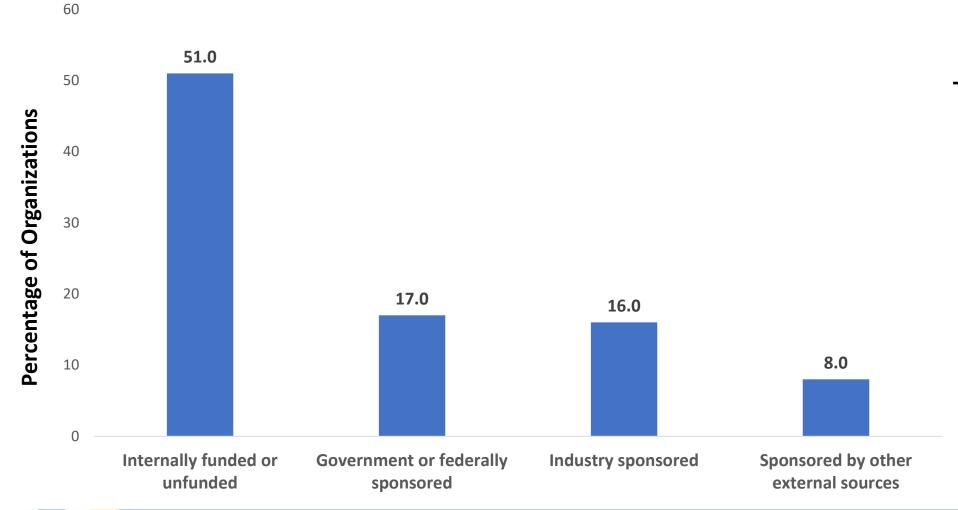
90.0%

Sponsored by other external sources

90.0%

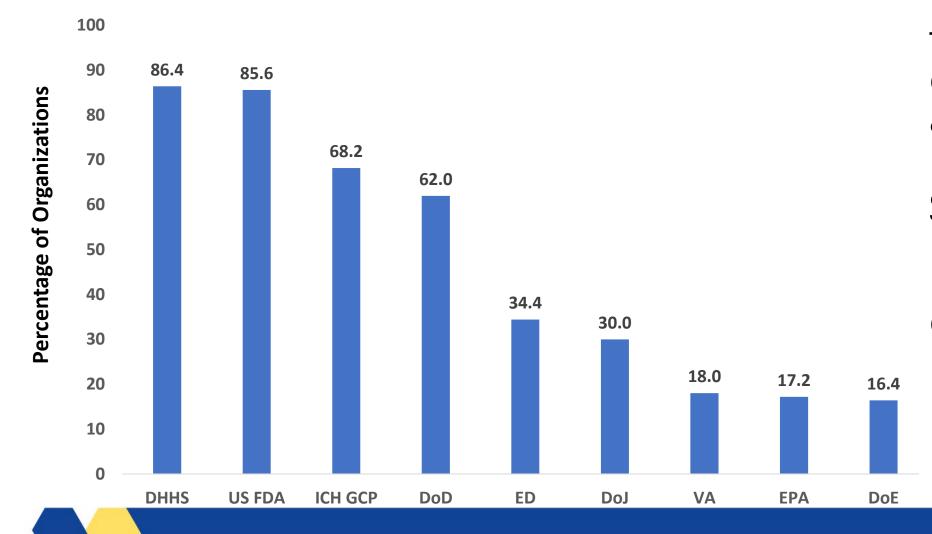
This table shows the percentage of organizations that conduct, review, or manage research by funding type that supports the research.

## **Funding Distribution**



This chart shows the median percentage of funding type that supports research organizations conduct, review, or manage.

## **Regulations & Guidelines**



This chart shows the percentage of organizations that apply specific regulations and guidelines to the research they review, manage, or conduct.

### **Internal IRBs/ECs\***

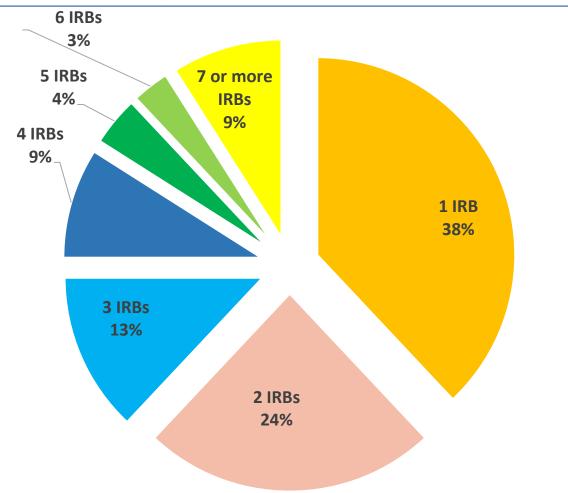
% of organizations that have internal IRBs

94.1%

Median # of IRBs/ECs per organization

2

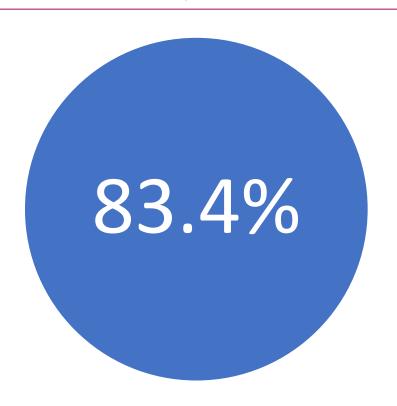
# Number of internal IRBs/ECs organizations support

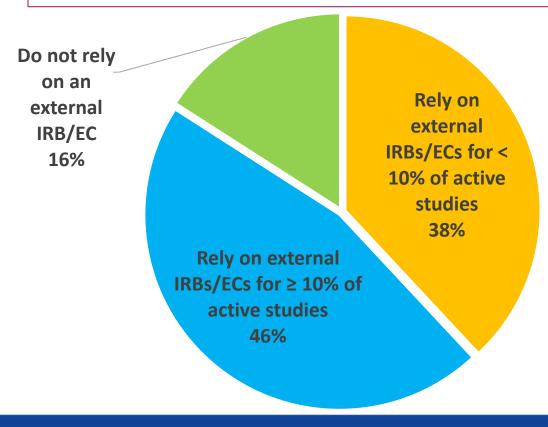


## Relying on External IRBs/ECs\*

% of organizations with internal IRBs/ECs that also use external IRBs/ECs

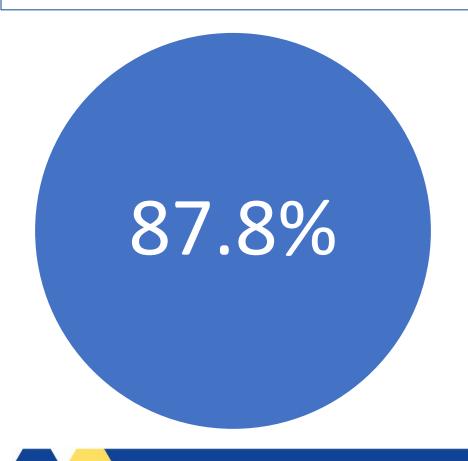
Use of external IRBs/ECs by organizations with internal IRBs/ECs

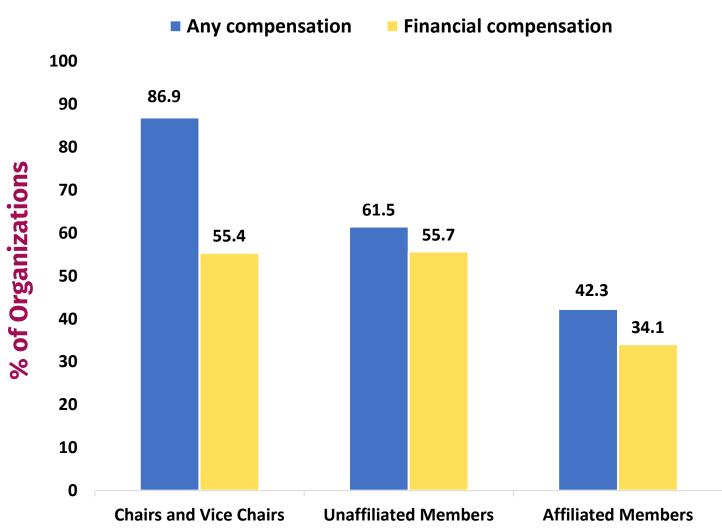




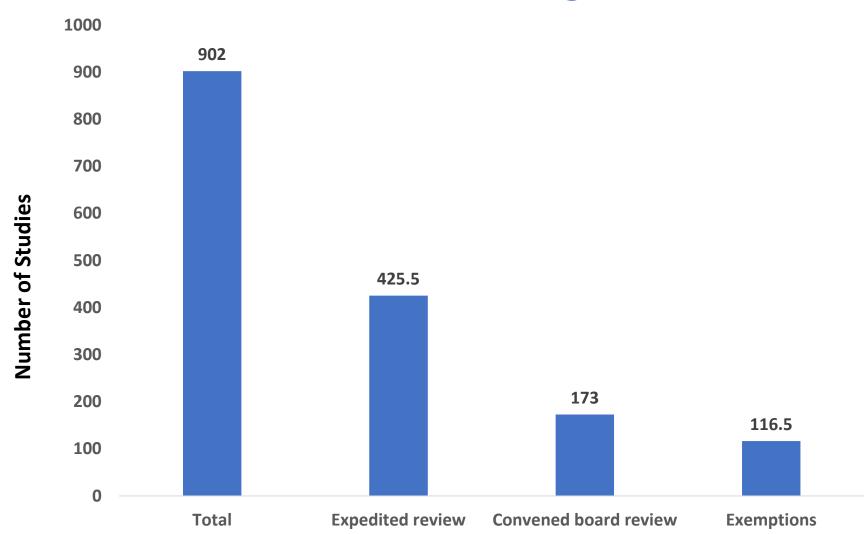
# Compensating IRB/EC Members

% of organizations with internal IRBs/ECs that compensate IRB/EC members





### **Active Studies Overseen: All Organizations**

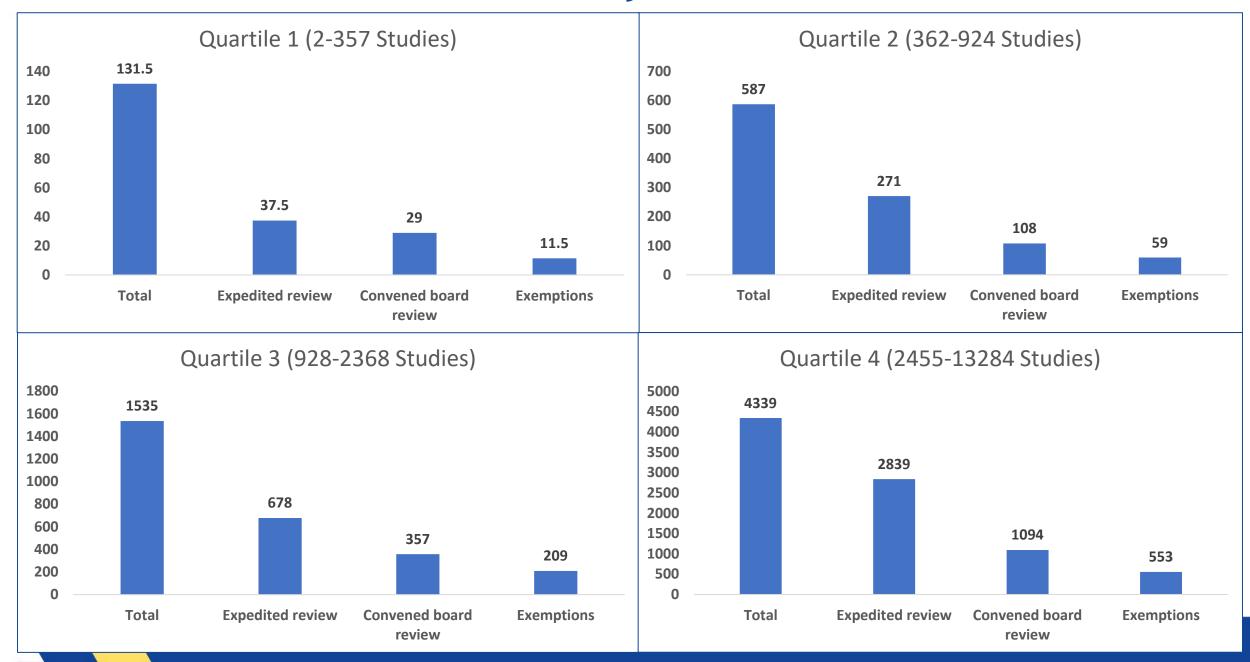


This chart shows the median number of active studies that organizations conduct, review, or manage by type of review.

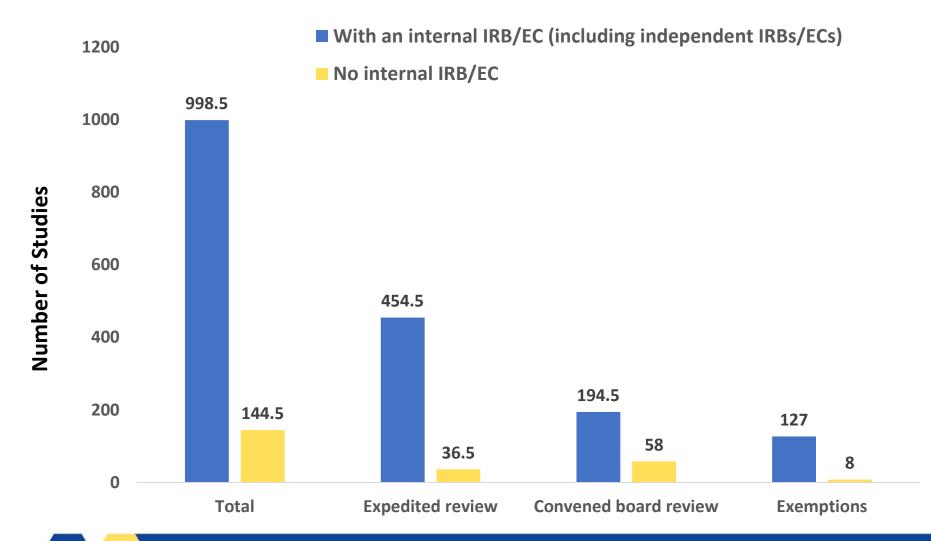
#### Note:

- Exemptions are based on the number of determinations made by an organization within 12 months of their most recent report to AAHRPP
- Total number of studies includes those reviewed by both internal and external IRBs/ECs in the case of organizations with IRBs/ECs

#### **Median Number of Active Studies (by Quartile)**



### **Active Studies: Internal vs. External IRB/EC**

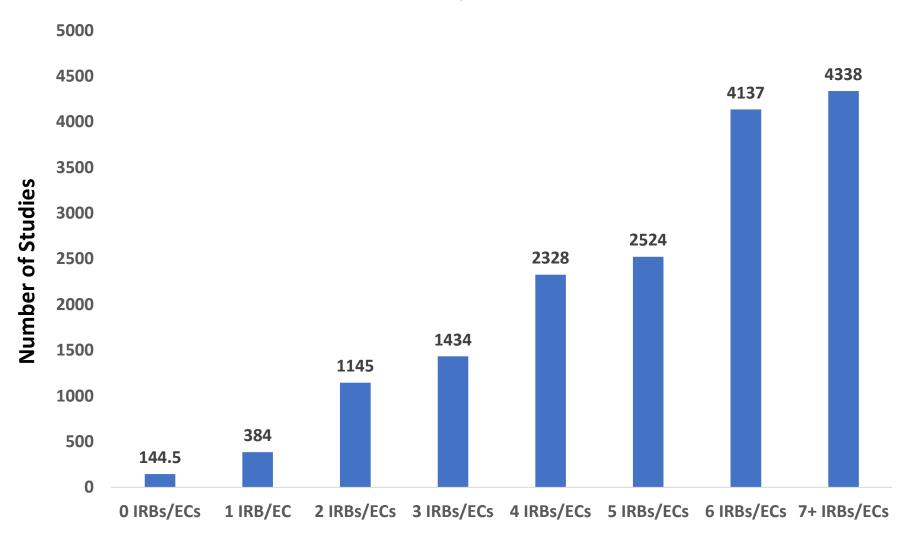


This chart shows the median number of active studies that organizations conduct, review, or manage by type of review based on whether they have an internal IRB/EC.

#### Note:

- Exemptions include the number of determinations made within 12 months of their most recent AAHRPP report
- Total number of studies includes those reviewed by both internal and external IRBs/ECs in the case of organizations with IRBs/ECs

### **Active Studies Per IRB/EC**

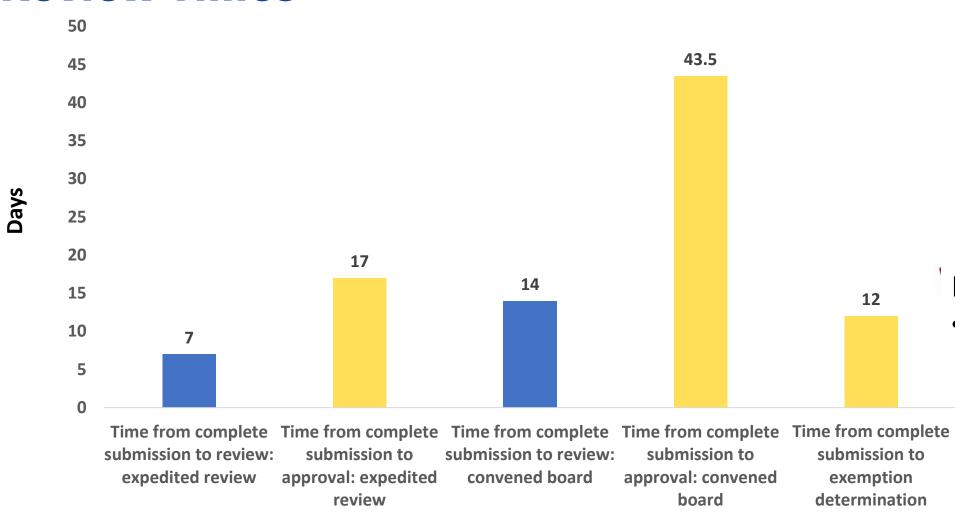


This chart shows the median number of active studies organizations oversee based on the number of IRBs/ECs.

#### Note:

 Median number of studies include those reviewed by both internal and external IRBs/ECs

### **Review Times**



This chart shows the median review times by review process for organizations that have internal IRBs/ECs or are independent IRBs/ECs.

#### Note:

Exemption review times are for organizations regardless of whether they have an internal IRB/EC

### **IRB/EC Technology**

Database for submission tracking

94.5%

Online protocol/materials distribution to IRB/EC members

93.2%

Online application submission

91.1%

Online review functions

88.2%

Does not use an electronic system

2.1%

This table shows the technology organizations that have IRBs/ECs use to support IRB/EC tracking, submission, distribution, and the specific functions used.

## IRB/EC Staffing and Budget

Number of Active Studies	Median Number of Studies	Median Number of Staff	Median Number of Studies per Staff	Median IRB/EC Budget
All	998.5	7	142.6	\$542,932
0-100	47	2	23.5	\$132,294
101-500	259	3	86.3	\$190,430
501-1000	770	6	128.3	\$522,932
1001-2000	1526	9.0	170.5	\$674,583
2001-4000	2739	14.6	185.7	\$1,303,358
4001+	6133	23.4	262.4	\$2,490849

This table breaks down IRB/EC staffing and budgets by the size of the research portfolio (exempt, expedited, and convened reviews) overseen by internal IRBs/ECs.

#### Note:

 Data from organizations without internal IRBs/ECs are not included

NOTE: DATA ON SLIDE UPDATED MAY 2023

### **Audits of Researchers**

Median # of researchers per organization with active studies:

Median # of research staff per organization:

% of organizations that reported that audits of researchers occurred

475.5

396

86.8%

Internal: "for cause"

Median: 2

• Total: 940

Internal: random

• Median: 12.5

• Total: 11,207

Regulatory agency inspections

Median: 0

• Total: 213

### **Audits of IRBs/ECs**

% of organizations that reported that audits of IRB/EC records occurred:

78.4

NOTE: DATA ON SLIDE UPDATED MAY 2023

Internal: "for cause"

Median: 0

• Total: 334

Internal: random

Median: 4

• Total: 4734

Regulatory agency inspections

Median: 0

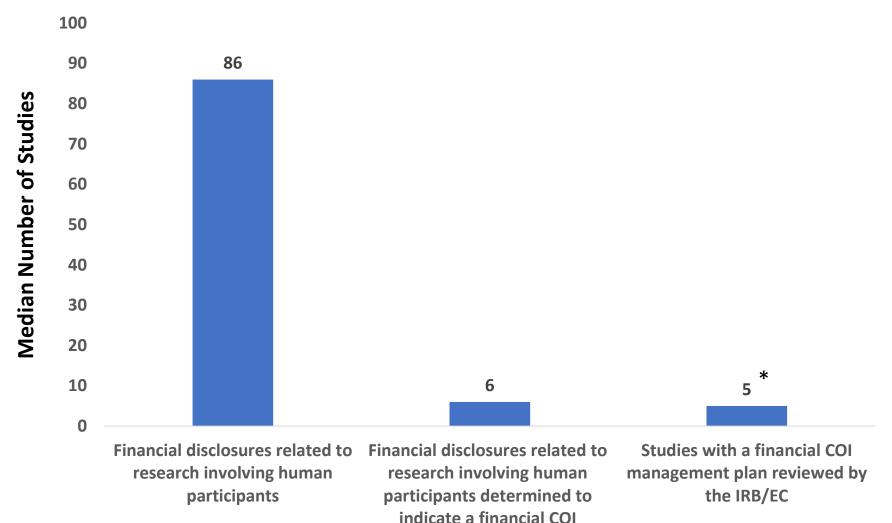
• Total: 48

#### **Unresolved Complaints, Noncompliance, & Unanticipated Problems**

**NOTE: DATA ON SLIDE UPDATED MAY 2023** 

Number of active studies	Median # & Total reported	Unresolved complaints	Investigations of alleged noncompliance	noncompliance	Continuing noncompliance determinations		12 1110111113
۸۱۱	Median	0	6	1	0	2	prior to an organization's
All	Total	140	12009	1419	974	1760	most recent
0.100	Median	0	0	0	0	0	report to
0-100	Total	1	92	8	6	24	AÄHRPP.
101 500	Median	0	4	1	0	1	
101-500	Total	0	680	80	31	280	The total
501-	Median	0	4	1	0	1	represents all
1000	Total	25	2202	94	40	177	events across
1001-	Median	0	11.5	2	1	1	organizations
2000	Total	23	3887	342	378	227	for that category.
2001-	Median	0	10	4	1	5	category.
4000	Total	21	2615	179	110	509	
4001+	Median	1	28	6	2	8.5	
	Total	64	2533	716	409	541	

### **Conflicts of Interest**



This chart shows the median number of COI-related disclosures, determinations, and reviews for organizations within the last 12 months of their most recent AAHRPP report.

\*Only includes data from organizations that have internal IRB/ECs or are independent IRBs/ECs