A 2025 "HRPP Innovations" Webinar:

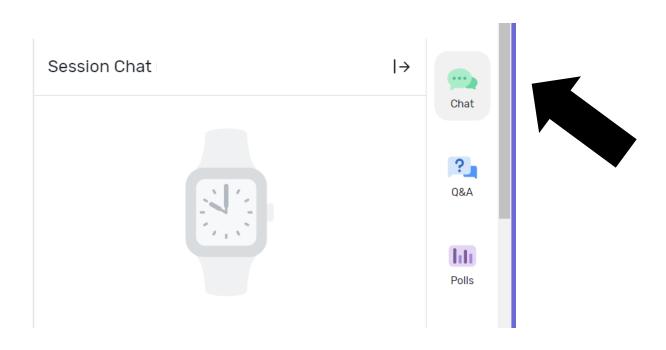
Should We Use AI to Support the IRB Review Process? It Depends (of course!)

October 1, 2025; 1:00 pm - 2:30 pm ET



Chat Feature

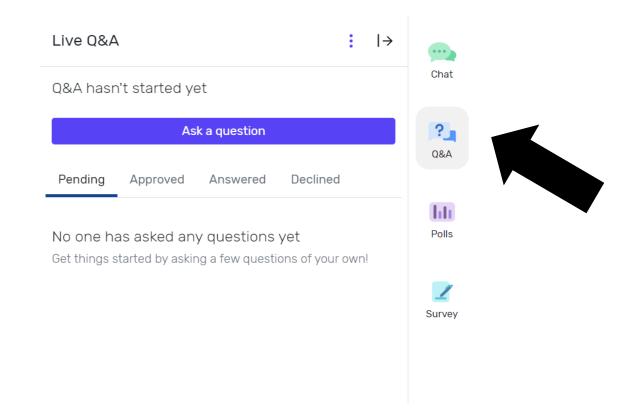
To chat with your colleagues before and after the session, or if you have technical questions, use the "Chat" icon





Questions

To ask questions about the topic for the presenters, please use the "Q&A" icon:







Upcoming Webinars



Save these dates for the remaining 2025 "Ask AAHRPP" webinars:

- October 14, 2025
- December 9, 2025



Save these dates for the remaining 2025 "HRPP Innovations" webinars:

November 2025 - TBD



Visit Webinars (aahrpp.org) for more information and registration links





Visit AAHRPP's Annual Conference page for more information

Presenter Introductions







Mohammad Hosseini Northwestern University







Yefrenia Henriquez Taveras Teachers College, Columbia University







Swapnali Chaudhari Rutgers University







Tonya Ferraro
AAHRPP



M Northwestern Medicine

Feinberg School of Medicine

Ethical Aspects of Using AI in Preparing or Reviewing IRB Applications

Mohammad Hosseini, Oct 1, 2025





Funding acknowledgments

- Office of Data Science Strategy (3OT2DB000013-01S1)
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- Network of the National Library of Medicine Evaluation Center (U24 LM013751)

Ideas and opinions expressed here do not represent the views of the ODSS, NCATS, NLM, NIH or the US government.



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- You have my consent to take photos, use quotes and tag me online if you find anything useful
 - > LinkedIn: hosseinimohammad
 - Bluesky: @mhmdhsini
- Introduced apps or studies are not endorsed



Use of AI in Preparing IRB Applications

Detailed and time-consuming process



SoftwareX

Volume 25, February 2024, 101601



Original Software Publication

IRB-draft-generator: A generative AI tool to streamline the creation of institutional review board applications

Ryan C. Godwin ^{a b} Ayesha S. Bryant ^a, Brant M. Wagener ^a, Timothy J. Ness ^a,

Jennifer J. DeBerry ^a, LaShun L. Horn ^a, Shanna H. Graves ^a, Ashley C. Archer ^c, Ryan L. Melvin ^a

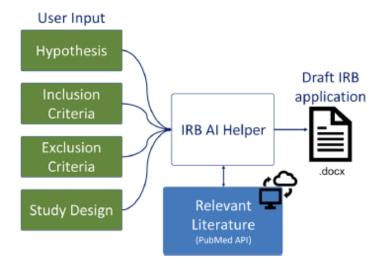
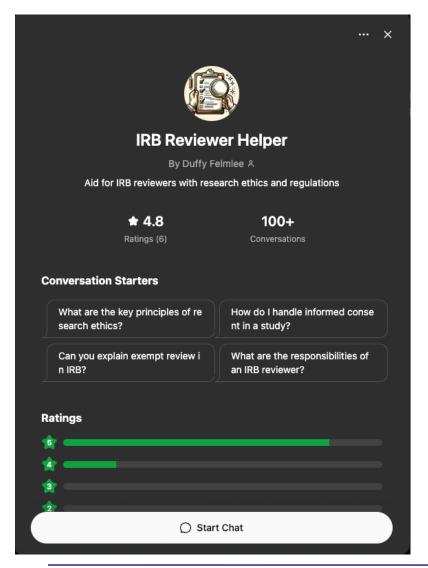
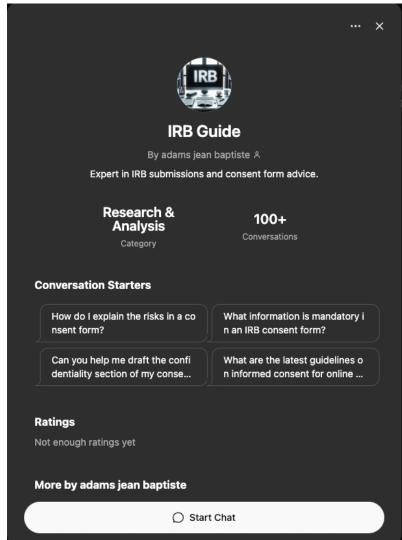


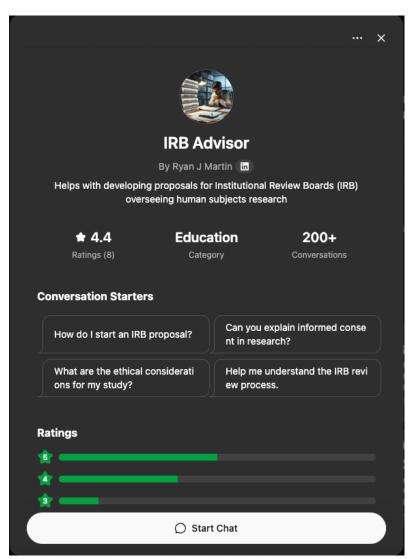
Fig. 1. The Workflow of the IRB Draft Generator includes 4 user inputs, the literature search tool, the generative AI of the IRB Helper and the output document. The IRB Helper uses the user input to generate a relevant literature search query which is fed to a literature search tool that interfaces directly to PubMed.



Use of AI in Preparing IRB Applications

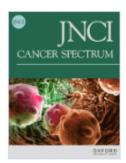








Use of AI in Preparing/Enhancing Consent Forms



Volume 9, Issue 2 April 2025

JOURNAL ARTICLE

AI meets informed consent: a new era for clinical trial communication 3

Michael Waters, MD, PhD

JNCI Cancer Spectrum, Volume 9, Issue 2, April 2025, pkaf028, https://doi.org/10.1093/jncics/pkaf028

Recruiting 6

Published: 18 March 2025 Article history ▼

Evaluating Al-Generated Plain Language Summaries on Patient Comprehension of Ophthalmology **Notes Among English-Speaking Patients**

ClinicalTrials.gov ID NCT06859216

Sponsor ① University of California, Los Angeles

Information provided by 1 Prashant Tailor, University of California, Los Angeles (Responsible Party)

Last Update Posted 1 2025-03-05



Use of AI in Reviewing IRB Applications

Extended essay

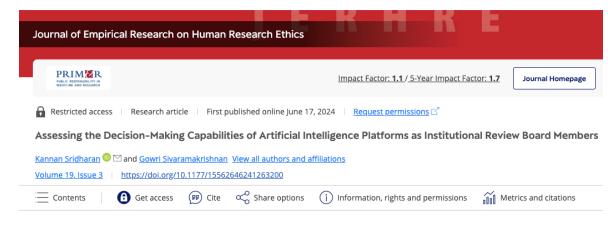
Chat-IRB? How application-specific language models can enhance research ethics review 8



Correspondence to Professor Julian Savulescu; julian.savulescu@uehiro.ox.ac.uk

Abstract

Institutional review boards (IRBs) play a crucial role in ensuring the ethical conduct of human subjects research, but face challenges including inconsistency, delays, and inefficiencies. We propose the development and implementation of application-specific large language models (LLMs) to facilitate IRB review processes. These IRB-specific LLMs would be fine-tuned on IRB-specific literature and institutional datasets, and equipped with retrieval capabilities to access up-to-date, context-relevant information. We outline potential applications, including pre-review screening, preliminary analysis, consistency checking, and decision support. While addressing concerns about accuracy, context sensitivity, and human oversight, we acknowledge remaining challenges such as over-reliance on artificial intelligence and the need for transparency. By enhancing the efficiency and quality of ethical review while maintaining human judgement in critical decisions, IRB-specific LLMs offer a promising tool to improve research oversight. We call for pilot studies to evaluate the feasibility and impact of this approach.



Abstract

Background: Institutional review boards (IRBs) face delays in reviewing research proposals, underscoring the need for optimized standard operating procedures (SOPs). This study assesses the abilities of three artificial intelligence (AI) platforms to address IRB challenges and draft essential SOPs. Methods: An observational study was conducted using three AI platforms in 10 case studies reflecting IRB functions, focusing on creating SOPs. The accuracy of the AI outputs was assessed against good clinical practice (GCP) guidelines. Results: The AI tools identified GCP issues, offered guidance on GCP violations, detected conflicts of interest and SOP deficiencies, recognized vulnerable populations, and suggested expedited review criteria. They also drafted SOPs with some differences. Conclusion: AI platforms could aid IRB decision-making and improve review efficiency. However, human oversight remains critical for ensuring the accuracy of AI-generated solutions.

Similar articl

Restricte

Underst

Underst Enabler Review: Boards

Restricti



Ethical Issues of Using AI in Preparing and Reviewing IRB Applications

- Errors
- Biases
- Incomplete or inaccurate literature reviews
- Confidentiality
- Deskilling

IRB applications are not published Attributions remain unclear



Conclusion

- Al cannot make value-based judgements
- Ethical planning, oversight and reasoning should rely on humans
- When is the right time to employ AI?
- Guidelines are needed

Al in IRB Review: A Resource-Risk Spectrum

Yefrenia Henriquez Taveras, MPH, MHA, CCRP, CHES, CIP

QA & Education Specialist - Teachers College, Columbia University IRB Compliance Coordinator – University of New England



Disclosure Statement

I have relevant professional relationship with respect to this educational activity with the following organization(s):

AAHRPP

Member, Board of Directors

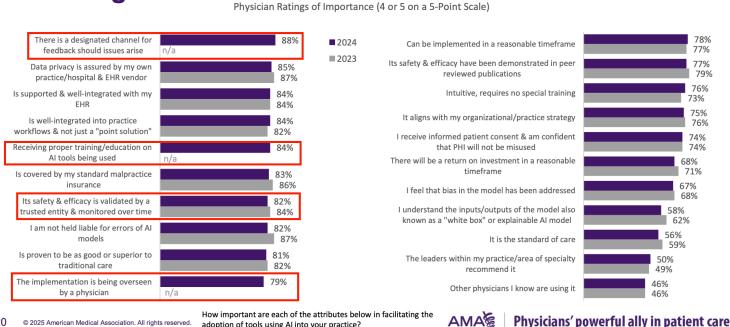


Responsible AI Requires Resources

- Feedback loops issues reporting & monitoring (88%)
- Data privacy secured integrations (85%)
- Training ongoing education (84%)
- Validation & monitoring proven safety & audits (82%)
- Human oversight reviewer/physician time (79%)

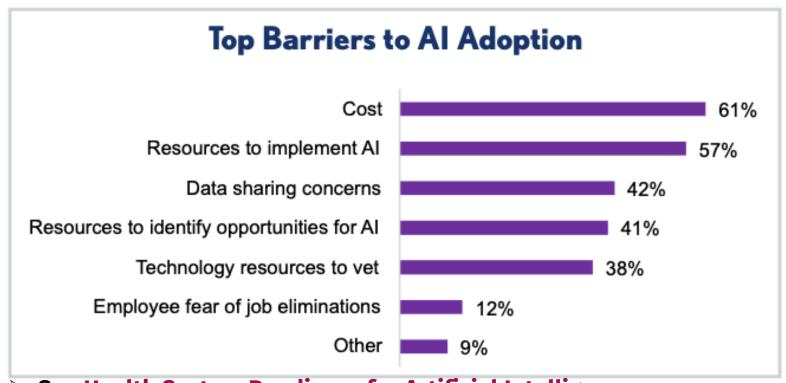
Key facilitators of Al adoption include a feedback loop, data privacy, workflow integration, and sufficient training

Key Attributes for Al Adoption



> See AMA Physician Al Sentiment Report, 2025

AAHRPP Association for the Accreditation of Human Research Protection Programs, Inc. System Readiness & Resource Divide



- **See Health System Readiness for Artificial Intelligence**
 - > See NTT Data: Global GenAl Report How organizations are mastering their GenAI destiny in 2025



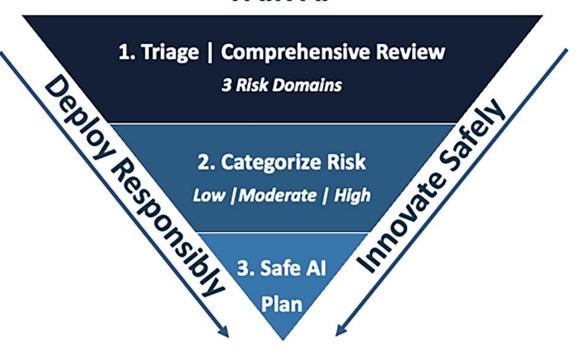
What Does this Mean for IRBs?

- More targeted, more controlled -> Small, less resourced IRBs
- Deeper integrations, with multi-layered safeguards -> Larger, more resourced IRBs



Institutional Risk Tolerance Drives Al Scope

FAIR AI

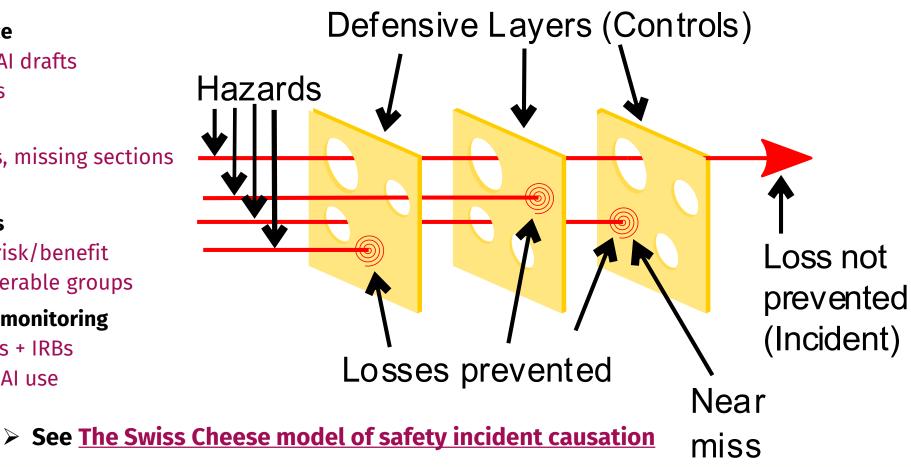


> See <u>A practical framework for appropriate</u>
implementation and review of artificial intelligence
(FAIR-AI) in healthcare

- Conservative vs. permissive interpretations of regulations determine whether AI stays in an advisory role or edges closer to decision support.
- Institutions with low appetite for reputational, legal, or accreditation risk will fence AI use tightly; those with higher tolerance may pilot bolder applications.
- Appetite must be matched to capacity—risktolerant adoption without monitoring resources creates disproportionate exposure.
- Leadership priorities, innovation incentives, and peer benchmarking often drive appetite as much as compliance obligations.

Swiss Cheese Model: Borrowed from patient safety, Adapted to IRB Oversight

- Layer 1: Researcher diligence
 - Mandatory revision of AI drafts
 - Checklist confirmations
- Layer 2: System safeguards
 - Al flags inconsistencies, missing sections
 - Audit logs of AI use
- Layer 3: IRB reviewer checks
 - Human verification of risk/benefit
 - Focus on consent, vulnerable groups
- Layer 4: Ongoing training & monitoring
 - Training for researchers + IRBs
 - Policies on acceptable AI use
 - Ongoing QA/auditing



Scaling Oversight to Your Context

Al use needs ongoing training, not one-time orientation.

Define where AI assists vs. where human judgment is required.

Treat AI like studies — continuous review and auditing.

Oversight should match resources (dashboards vs. spot-checks).

Low-Resource, Low-Risk Al Use Cases

- FAQ chatbots trained only on official policies
- Basic document formatting checks
- Intake completeness scans
- Proactive education tools that walk investigators through requirements before submission

Pre-submission

Intake (pre-review)



Medium-Resource AI Use Cases

- Drafting structured review summaries
- Cross-checking protocols against IRB checklists
- Flagging omissions or inconsistencies
- Suggesting precedent from similar cases
- Must include HUMAN in the loop (HITL)!
- > See <u>Human-in-the-Loop Testing for LLM-Integrated Software</u> for QA frameworks to reduce hallucinations, bias, and prompt injection.
- > See <u>Formalising Human-in-the-Loop</u> for typologies of oversight, failure modes, and legal-moral responsibility.



Review

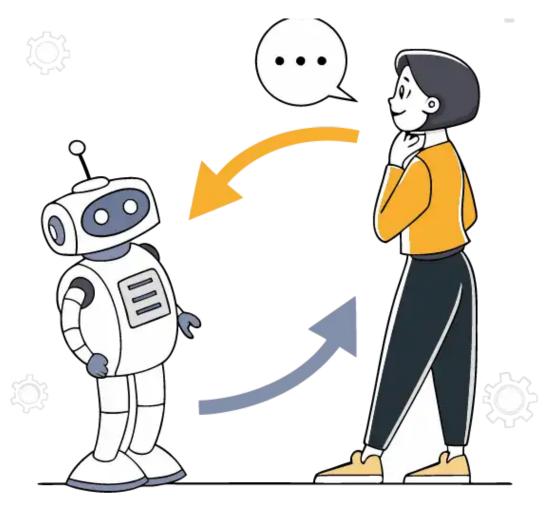
High-Resource, High-Responsibility Al Use Cases

- Predictive post-approval monitoring
- Identifying systemic risks across protocols
- Compliance database integration
- Human in the loop: constant oversight structure

Post-determination



Human-in-the-Loop: Oversight in Practice



Defines use cases, data flows, failure modes; policy sign-off.

Verifies AI flags; document accept/reject with rationale.

Conducts QA sampling, incident/nearmiss logging, periodic recalibration.

Any output affecting determinations is independently reviewed; never autoapprove.

Insights from Our IT Neighbors

- Audit logs: Robust logs should capture:
 - Data lineage (origin and transformation of datasets)
 - Model versioning (which model was used)
 - Traceability (which human reviewer acted on AI output)
- De-identification standards:
 - Safeguards against re-identification and alignment to governance policies.
- Vendor vetting: Contracts with AI vendors must address:
 - Data ownership
 - Breach notification
 - Right to security audits
- Risk evaluation:
 - Adapting existing frameworks
 - Framework-guided evaluation

See <u>ISO/IEC 27001</u> vendor controls and <u>NIST CSF</u> 2.0: <u>Updated Third Party & Supply Chain Risk</u> <u>Management</u>



Adoption Test: Use Only When All Are True

- √The IRB makes the determination, not Al.
- ✓ Reviewers can interrogate outputs; logs exist for QA.
- ✓ No identifiable data enters models without explicit safeguards.
- ✓ People and process for training, incidents, updates.
- ✓ If efficiency reduces transparency/control, do not use.



Your resources, risk tolerance, and safeguards determine your Al adoption curve!



Adopt AI Responsibly

- Match adoption to monitoring capacity
- Keep humans in the loop
- Ethics first, efficiency second

See <u>Responsible artificial intelligence</u> governance: A review and research framework

Table 4 Responsible artificial intelligence (AI) principles. **Sub-dimensions Principle** References (de Almeida et al., 2021; European Accountability Auditability: ability to assess AI applications concerning the algorithms, data, and Commission, 2019; Mikalef et al., 2022) Responsibility: oversight of the various stages and activities involved in AI deployment and how it should be allocated to people, roles, or departments Accessibility: design of systems in a manner that makes them accessible and usable for (Fjeld et al., 2020; Singapore Government, Diversity, noneveryone, regardless of age, gender, abilities, and characteristics discrimination and 2020) fairness No unfair bias: inclination of prejudice toward or against people, objects, or positions, as well as inherent biases in datasets, which can precipitate undesirable outcomes Human review: right of a person to challenge a decision made by an AI (European Commission, 2019; Singapore Human agency and Government, 2020) oversight **Human well-being:** the notion that AI must include human well-being as a primary success factor for development Data quality: accuracy of values in a dataset, matching the true characteristics of the Privacy and data (Matthews, 2020; Singapore Government, entities described by the dataset governance Data privacy: AI systems' development and operation in a manner that considers data privacy throughout the data lifecycle Data Access: national and international rights laws during the design of an AI for data access permissions Technical robustness and Accuracy: AI system's ability to make correct judgments, such as correctly classifying (European Commission, 2019; Singapore Government, 2020)

How AI tools might be incorporated into the IRB review process or to support other IRB and HRPP functions.

Swapnali Chaudhari, MBBS, MS, CRC/CRA
Director, IRB/HRPP
Rutgers, The State University of New Jersey



Disclosure Statement

I have relevant personal/professional/financial relationship(s) with respect to this educational activity with the following organization(s):

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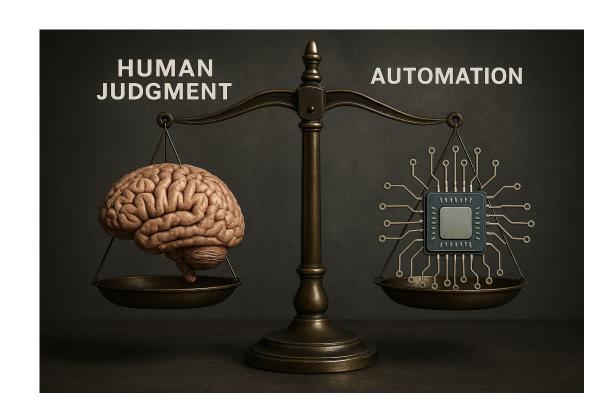
Site Visitor



Al and IRB Partnership

AI and IRB: A Symbiotic Relationship, Not a Takeover

- **O** Streamline IRB operations
- Support application checks
- Human expertise remains essential
- Al as partner, not substitute



Enhancing IRB Operations Through Improved Communication and Al

 Clear PI communication drives timely review

- Six-week AI vs. human study
- AI helps, experts remain essential
- Next: hybrid models & eIRB+ integration

Quality Assurance and Al Analysis of IRB Memorandums

Judy Kwak MA, CIP, Swapnali Chaudhari MBBS, MS, Naveena Yanamala MS, PhD



Average Gemini

Acceptance

:(3+5)/20 = 40%

Enhancing IRB memorandum review efficiency by comparing human expert assessments with Al-based analysis over six weeks.

Background

Institutional Review Boards (IRBs) utilize Turnaround Time (TAT) as a key metric to assess the efficiency of research protocol

The Rutgers University IRB enhanced turnaround time by:

- · Identifying modifications and continuations for expedited
- · Conducting regular assessments of queues (weekly, then
- · Establishing a clear timeline for processing and action

Another area for improvement in TAT was the delays in Principal Investigators' response to requested changes which continues to

We questioned whether the use of AI tools in administrative workflows may improve TAT in 2 ways:

Enhancing the quality of IRB memoranda,

·Faster responses from the PIs

before formally implementing Al-assisted memorandums, it is critical to assess how Al interpretation compares to manually crafted memorandums and whether these differences impact overall efficiency in research administration.

Methodology

- Study Duration: Conducted over six weeks.
- · Sample Size: Twelve IRB memorandums were randomly selected each week, covering Full Board, Expedited, and Exempt categories. Total sample size: 12 memorandums.
- Review Process: Each memorandum was independently assessed by two human expert reviewers, as well as Albased tools, including Copilot and Gemini.
- · Evaluation Metrics: The memorandums were reviewed for clarity, tone, completeness, readability and conciseness. Reviews were analyzed for discrepancies, deviations, and clarity in presenting deliberations.
- Scoring Method: A 1-to-5 rating scale was used to assess review quality. Microsoft tools and Grammarly supported scoring assessments. Specific Voting Metrics analyzed
 - · Preference count for Copilot, Gemini, or Human.
 - · Cross expert votes (e.g., Expert 1 voting for Expert 2)
- Third-Party Evaluation: An anonymized review was conducted by a third evaluator, measuring gap percentages, discrepancies, and overall quality scores.
- · Comparative Analysis: Performance was compared across two sets to assess differences in clarity, readability, tone, completeness, and conciseness
- Human vs. Copilot
- o Human vs. Gemini

Conclusions & Future Directions

- · Overall, neither Al tool tested as part of this study outperformed human experts across all IRB categories
- · Expert perception was observed to be tool dependent (Copilot vs Gemini) and was highly variable recommending the need for broader testing with more diverse experts and blinded trials
- . The preference for AI versus Human did not differ in IRB memorandums for Exempt or Expedited studies.
- · Expert 2/3's judgments aligned most with perceived quality, suggesting domain expertise remains critical in complex reviews.
- · All could be a viable co-reviewer or assistant to provide consistency where human skill diverges, or reviewer variability is substantial - especially for complex cases (Full Board protocols).

- · Expand to larger, balanced expert cohorts with overlapping case reviews.
- · Explore hybrid review models: AI + Human expert collaboration, especially for Full Board
- Explore Al-generated memorandums' impact on readability, structure, and PI response time
- Identify key areas to improve communication strategies for faster study resubmission & maintain professional standards while enhancing institutional expectations.
- Assess how Al-driven clarity influences workflow efficiency and whether optimized memorans lead to more timely and effective PI responses

Summary of Voting Distributions:

	Expert 1	Expert 2
Gemini	30% (3/10)	50% (5/10)
Human Evnert	70% (7/10)	50% (5/10)

	Expert 1	Expert 2	Expert 3
Copilot	70% (7/10)	10% (1/10)	50% (5/10)
Human Expert	30% (3/10)	90% (9/10)	50% (5/10)

Average Copilot Acceptance: $(7+1+5)/30=13/30 \approx 43.3\%$

Al tools are accepted in less than half the cases overall and with high variance (SD = 25%), suggesting subjectivity or bias in voting

Self-Voting & Peer Expert Preference:

Expert		Self-Voting vs Gemini	Other Expert vs Copilot	Other Expert vs Gemini
Expert 1	33%	67%	25%	75%
Expert 2	83%	33%	100%	75%

The perception of peer expertise shifted between tools, with Gemini making Expert 2 more trusting of Expert 1, while Expert 1 selfrated higher when comparing against Gemini.

IRB Category & Expert 3 Voting Preference:

IRB Type	Expert 1	Expert 2	Copilot
Exempt (n=4)	1	1	2
Expedited (n=4)	1	1	2
Full Board (n=4)	0	2	2

Copilot (50% in all cases) followed by Expert 2 (33.3% overall and 50% in Full board) was favored by Expert 3 (completely blinded).

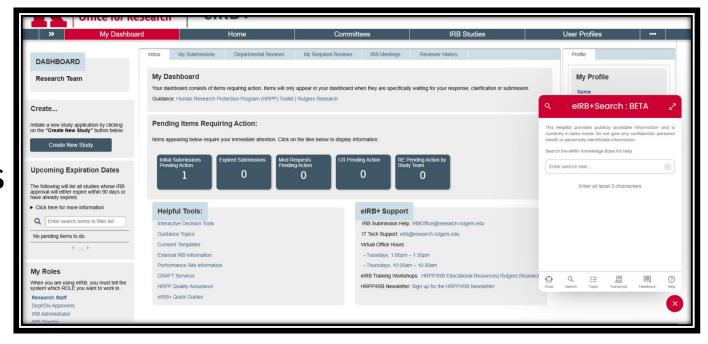
Limitations:

- Small Sample Set & Style Leakage: Considering only 6 cases per expert and they reviewed/voted their own previously written work (or Al-generated work prompted by their own input), there is a possibility for remembering/recognizing the content,
- Training Bias: Individual preferences influenced outcomes, as reviewers' backgrounds affected their assessments.

The IRB's 24/7 "Answer Man" The Research Bot

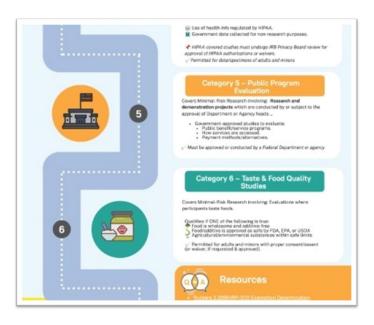
The Research Bot

- Three Models of Use
- Easy Access to Info
- Downloadable Transcripts
- Feedback Driven



Education with Pictograms

- Meeting Compliance Demands with Strategy
- Transitioning From Lectures
 To "Bite-Sized" Learning
- Infographics as a 24/7 Resource
- Boosting Engagement through Two-Minute Briefs

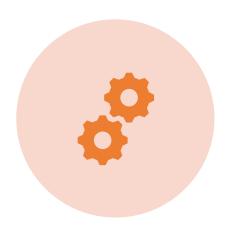


Al and Office for Research Partnership



- AI-Powered Contract Pre-Review
- Strategic Insight from Sponsored Data
- Enhanced Research Portfolio Mapping

Next Innovations







ADDING AI TOOLS FOR THE IRB PRE-REVIEW PROCESS.

AUTOMATING REVIEW OF EXEMPT SUBMISSIONS.

DEVELOPING A PREDICTIVE AI MODEL.

Reference List:

- The ethics of using artificial intelligence in scientific research: new guidance needed for a new tool
- <u>Beyond principlism: Practical strategies for ethical AI use in research practices</u>
- When combinations of humans and AI are useful: A systematic review and meta-analysis
- <u>Augmented Intelligence Framework for Human-Artificial Intelligence</u> <u>Teaming in Cybersecurity.</u>
- NIST Researchers Suggest Historical Precedent for Ethical AI Research.
- "Does Black Box AI In Medicine Compromise Informed Consent?"



Thank You!

